

June 1, 2017

Attached please find the updated Foreign Listed Stock Index Futures and Options Approvals Chart, current as of June 1, 2017. All prior versions are superseded and should be discarded. Please note the following developments since we last distributed the Approvals Chart:

- (1) Eurex has certified for trading by U.S. Persons the following contracts: (i) EURO STOXX 50 Total Return futures contract; (ii) MSCI ACWI Net Total Return (EUR) futures contract; (iii) MSCI Canada Gross Total Return (USD) futures contract; (iv) MSCI Canada Net Total Return (USD) futures contract; (v) MSCI EMU Gross Total Return (EUR) futures contract; (vi) MSCI Europe Gross Total Return (EUR) futures contract; (vii) MSCI Europe Gross Total Return (USD) futures contract; (viii) MSCI France Gross Total Return (EUR) futures contract; (ix) MSCI France Net Total Return (EUR) futures contract; (x) MSCI North America Gross Total Return (USD) futures contract; (xi) MSCI North America Net Total Return (USD) futures contract; (xii) MSCI United Kingdom Net Total Return (USD) futures contract; (xiii) MSCI USA Gross Total Return (USD) futures contract; (xiv) MSCI World Gross Total Return (EUR) futures contract; and (xv) MSCI World Gross Total Return (USD) futures contract.
- (2) The Taiwan Futures Exchange has certified for trading by U.S. Persons the following contracts: (i) DJIA Index futures contract; and (ii) S&P 500 Index futures contract.
- (3) Pursuant to CFTC Regulation 48.10, Eurex also has made available for trading by U.S. persons the EURO STOXX 50 Dividend futures contract. This futures contract is not deemed to be a security index futures contract. Nonetheless, we have listed the futures contract on this chart for readers' convenience.

Additional products that are made available for trading by U.S. persons after June 1, 2017 will be addressed in a subsequent version of this Approvals Chart. Please refer to the websites of the CFTC, the SEC and the applicable exchanges for more information in the interim.

For Additional Information

The information on the attached Approvals Chart is subject to change at any time. If you have questions or would like confirmation of the status of a specific contract, please contact:

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Foreign Listed Stock Index Futures and Options Approvals

(June 1, 2017)

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|------------------|---|---|---|--|--------------|
| Australia/ASX | 1-Day Option Contract based on the CBOT Mini-Sized Dow Futures Contract | None offered | Approved for sale to U.S. Persons (August 8, 2006 No-Action Letter issued to Sydney Futures Exchange (SFE)) | None offered | None offered |
| Australia/ASX | S&P/ASX 50 | Approved for sale to U.S. Persons (July 24, 2002 No-Action Letter issued to ASX Futures Exchange) | None offered | Approved for Eligible U.S. Institutions (August 13, 2007 SEC No-Action Letter issued to ASX Limited) | None offered |
| Australia/ASX | S&P/ASX 200 | Approved for sale to U.S. Persons (July 24, 2002 No-Action Letter issued to ASX Futures Exchange) | None offered | Approved for Eligible U.S. Institutions (August 13, 2007 SEC No-Action Letter issued to ASX Limited) | Not approved |
| Australia/ASX | S&P/ASX 200 A-Reit | Not approved | None offered | Approved for Eligible U.S. Institutions (August 13, 2007 SEC No-Action Letter issued to ASX Limited) | None offered |
| Australia/ASX | S&P/ASX 200 VIX | Approved for sale to U.S. Persons (August 30, 2014 certification) | None offered | None offered | None offered |
| Australia/ASX | Single name equities | None offered | None offered | Approved for Eligible U.S. Institutions (August 13, 2007 SEC No-Action Letter issued to ASX Limited) | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|---|--|--|--|--------------|
| Australia/ASX | SPI 200 | Approved for sale to U.S. Persons (August 10, 1999 No-Action Letter issued to SFE) | Approved for sale to U.S. Persons (August 10, 1999 No-Action Letter issued to SFE) | Not approved | None offered |
| Austria/Wiener Borse (Vienna Stock Exchange) | ATX | Not approved | None offered | Not approved | None offered |
| Austria/Wiener Borse (Vienna Stock Exchange) | CECE Indices (CEX, CTX, HTX, PTX) | Not approved | None offered | Not approved | None offered |
| Austria/Wiener Borse (Vienna Stock Exchange) | Russian Traded | Not approved | None offered | Not approved | None offered |
| Austria/Wiener Borse (Vienna Stock Exchange) | Single name equities | None offered | None offered | Not approved (<i>but see</i> Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,4} | None offered |
| Belgium/Euronext Brussels ¹³ | BEL 20 | Approved for sale to U.S. Persons (August 31, 2011 No-Action Letter issued to Euronext Brussels) | None offered | Approved for Eligible U.S. Institutions (September 17, 2014 Representation Letter from Euronext Brussels to SEC) ³ | None offered |
| Belgium/Euronext Brussels ¹³ | Mini BEL 20 (index participation units) | None offered | None offered | Not approved | None offered |
| Belgium/Euronext Brussels ¹³ | Mini Euro STOXX (index participation units) | None offered | None offered | Not approved | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|----------------------|---|--|---|--------------|
| Belgium/Euronext Brussels ¹³ | Single name equities | Not approved | None offered | Approved for Eligible U.S. Institutions for Eligible Options on individual equities that are listed and traded on Euronext Brussels (September 17, 2014 Representation Letter from Euronext Brussels to SEC) (see also Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,4} | None offered |
| Brazil/BM&F Bovespa S.A. | S&P BSE SENSEX | Approved for sale to U.S. Persons (March 12, 2013 certification) | None offered | None offered | None offered |
| Brazil/BM&F Bovespa S.A. | FTSE/JSE Top 40 | Approved for sale to U.S. Persons (March 12, 2013 certification) | None offered | None offered | None offered |
| Brazil/BM&F Bovespa S.A. | Hang Seng | Approved for sale to U.S. Persons (March 12, 2013 certification) | None offered | None offered | None offered |
| Brazil/BM&F Bovespa S.A. | Ibovespa | Approved for sale to U.S. Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.) ¹ | Approved for sale to U.S. Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.) | Not approved | Not approved |
| Brazil/BM&F Bovespa S.A. | Ibovespa Flex | None offered | None offered | Not approved | None offered |
| Brazil/BM&F Bovespa S.A. | IBrX 50 | Approved for sale to U.S. Persons (May 24, 2016 certification) | Approved for sale to U.S. Persons (May 24, 2016 certification) | Not approved | None offered |

For the definitions of “Eligible U.S. Institution” and “Eligible Option” and for other explanatory information, please see the endnotes at the end of this table.

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|-------------------------------|--|--|--|--|
| Brazil/BM&F Bovespa S.A. | MICEX | Approved for sale to U.S. Persons (March 12, 2013 certification) | None offered | None offered | None offered |
| Brazil/BM&F Bovespa S.A. | Mini Ibovespa | Approved for sale to U.S. Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.) | None offered | None offered | None offered |
| Brazil/BM&F Bovespa S.A. | S&P 500 | Approved for sale to U.S. Persons (September 24, 2012 certification) | Approved for sale to U.S. Persons (September 24, 2012 certification) | None offered | None offered |
| Canada/Montreal Exchange (Bourse de Montréal) | FTSE Emerging Markets Index | Approved for sale to U.S. Persons (March 21, 2014 CFTC No-Action Letter issued to Montreal Exchange) | None offered | None offered | None offered |
| Canada/Montreal Exchange (Bourse de Montréal) | iShares S&P/TSX 60 | None offered (<i>but see</i> S&P/TSX 60) | None offered | None offered (<i>but see</i> S&P/TSX 60) | Approved for U.S. Persons generally pursuant to Registration Statement (<i>see</i> Canada/Montreal Exchange – single name equities) |
| Canada/Montreal Exchange (Bourse de Montréal) | iShares S&P/TSX Capped Energy | None offered (<i>see also</i> S&P/TSX Capped Sector) | None offered | None offered (<i>but see</i> S&P/TSX Capped Sector) | Approved for U.S. Persons generally pursuant to Registration Statement (<i>see</i> Canada/Montreal Exchange – single name equities) |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|---|--|------------------------------|--|--|
| Canada/Montreal Exchange (Bourse de Montréal) | iShares S&P/TSX Capped Financials | None offered (see also S&P/TSX Capped Sector) | None offered | None offered (but see S&P/TSX Capped Sector) | Approved for U.S. Persons generally pursuant to Registration Statement (see Canada/Montreal Exchange – single name equities) |
| Canada/Montreal Exchange (Bourse de Montréal) | iShares S&P/TSX Global Gold | None offered | None offered | None offered | Approved for U.S. Persons generally pursuant to Registration Statement (see Canada/Montreal Exchange – single name equities) |
| Canada/Montreal Exchange (Bourse de Montréal) | iShares S&P/TSX Capped Information Technology | None offered (see also S&P/TSX Capped Sector below) | None offered | None offered (but see S&P/TSX Capped Sector) | Approved for U.S. Persons generally pursuant to Registration Statement (see Canada/Montreal Exchange – single name equities) |
| Canada/Montreal Exchange (Bourse de Montréal) | S&P/TSX 60 Index Mini Futures | Approved for sale to U.S. Persons (April 19, 2012 certification) | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|-----------------------|---|------------------------------|--|--|
| Canada/Montreal Exchange (Bourse de Montréal) | S&P/TSX 60 | Approved for sale to U.S. Persons (December 9, 1999 CFTC No-Action Letter issued to Montreal Exchange) ² | None offered | Approved, including approval of all other index options, for sale to U.S. Persons generally that are now or may in the future be listed (Post-Effective Amendment No. 27 to Registration Statement on Form S-20 filed by Canadian Derivatives Clearing Corp.; SEC File No. 2-69458) | None offered (<i>but see</i> iShares S&P/TSX 60) |
| Canada/Montreal Exchange (Bourse de Montréal) | S&P/TSX Capped Sector | Not approved | None offered | Approved for U.S. Persons generally pursuant to Registration Statement (<i>see</i> S&P/TSX 60) | None offered (<i>but see</i> iShares S&P/TSX Capped Energy; iShares S&P/TSX Capped Financials; iShares S&P/TSX Capped Information Technology) |
| Canada/Montreal Exchange (Bourse de Montréal) | Single name equities | None offered | None offered | Approved for all options on individual securities listed on the Montreal Exchange that are now or may in the future be listed for sale to U.S. Persons generally (Post-Effective Amendment No. 27 to Registration Statement on Form S-20 filed by Canadian Derivatives Clearing Corp.; SEC File No. 2-69458) | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|----------------------------|---|------------------------------|---|--|
| China (see also Hong Kong and Taiwan)/China Financial Futures Exchange | CSI 300 Index Futures | Not approved | None offered | None offered | None offered |
| Denmark/Nasdaq Copenhagen | OMX Copenhagen 20 (OMXC20) | Not approved | None offered | Not approved | None offered |
| Denmark/Nasdaq Copenhagen | Single name equities | None offered | None offered | Not approved (<i>but see</i> U.K./ICE Futures Europe – Single name equities; U.K./Turquoise Global Holdings Limited) ^{3,8} | None offered |
| Finland/Nasdaq Helsinki | Single name equities | None offered | None offered | Not approved (<i>but see</i> Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,4} | None offered |
| France/Euronext Paris S.A. ¹³ | CAC 40 | Approved for sale to U.S. Persons (December 17, 1991 No-Action Letter issued to ParisBourse) ² | None offered | Approved for Eligible U.S. Institutions (June 17, 1996 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse) ³ | See France/Euronext Paris S.A. – exchange-traded funds |
| France/Euronext Paris S.A. ¹³ | CAC 40 Mini | Approved for sale to U.S. Persons (September 13, 2013 certification) | None offered | None offered | See France/Euronext Paris S.A. – exchange-traded funds |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|--|--|------------------------------|---|--|
| France/Euronext Paris S.A. ¹³ | Euro STOXX 50 | Approved for sale to U.S. Persons (February 29, 2000 CFTC No-Action Letter issued to ParisBourse) ² | None offered | Approved for Eligible U.S. Institutions (October 26, 1998 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse) | See France/Euronext Paris S.A. – exchange-traded funds |
| France/Euronext Paris S.A. ¹³ | STOXX 50 | Approved for sale to U.S. Persons (February 29, 2000 CFTC No-Action Letter issued to ParisBourse) ² | None offered | Approved for Eligible U.S. Institutions (October 26, 1998 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse) | See France/Euronext Paris S.A. – exchange-traded funds |
| France/Euronext Paris S.A. ¹³ | STOXX 600 Sectors (Bank, Energy, Healthcare, Technology, Telecommunications) | Not approved | None offered | Not approved | See France/Euronext Paris S.A. – exchange-traded funds |
| France/Euronext Paris S.A. ¹³ | FTSE EPRA/NAREIT Euro Zone | Approved for sale to U.S. Persons (July 10, 2008 CFTC No-Action Letter issued to Euronext LIFFE, on behalf of Euronext Paris S.A.) | None offered | None offered | See France/Euronext Paris S.A. – exchange-traded funds |
| France/Euronext Paris S.A. ¹³ | FTSE EPRA/NAREIT Europe | Approved for sale to U.S. Persons (July 10, 2008 CFTC No-Action Letter issued to Euronext LIFFE, on behalf of Euronext Paris S.A.) | None offered | None offered | See France/Euronext Paris S.A. – exchange-traded funds |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|----------------------|--|------------------------------|--|--|
| France/Euronext Paris S.A. ¹³ | FTSEurofirst 100 | Approved for sale to U.S. Persons (March 5, 2007 CFTC No-Action Letter issued to Euronext LIFFE, on behalf of Euronext Paris S.A.) | None offered | None offered ³ | See France/Euronext Paris S.A. – exchange-traded funds |
| France/Euronext Paris S.A. ¹³ | FTSEurofirst 80 | Approved for sale to U.S. Persons (March 5, 2007 CFTC No-Action Letter issued to Euronext LIFFE, on behalf of Euronext Paris S.A.) | None offered | Approved for Eligible U.S. Institutions (September 17, 2014 Representation Letter from Euronext Paris to SEC) ³ | See France/Euronext Paris S.A. – exchange-traded funds |
| France/Euronext Paris S.A. ¹³ | Single name equities | Not approved | None offered | Approved for Eligible U.S. Institutions for Eligible Options on individual equities that are listed and traded on Euronext Paris or other regulated markets in Europe (September 17, 2014 Representation Letter from Euronext Paris to SEC) (see also Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,4} | See France/Euronext Paris S.A. – exchange-traded funds |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|-----------------------|-------------------|------------------------------|--|---|
| France/Euronext Paris S.A. ¹³ | Exchange-traded funds | None offered | None offered | None offered | Approved for Eligible U.S. Institutions for Eligible Options on ETFs that are listed and traded on Euronext Paris or other regulated markets in Europe, and do not include component stocks that are U.S. underlying stocks (September 17, 2014 Representation Letter from Euronext Paris to SEC) |
| Germany/Börse Frankfurt | Single name equities | None offered | None offered | Not approved (<i>but see</i> Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,4} | None offered |
| Germany/Eurex ¹³ | ATX | Not approved | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | ATX five | Not approved | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | CECE EUR | Not approved | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | Not approved |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|--|---|------------------------------|---|--------------|
| Germany/Eurex ¹³ | DAX | Approved for sale to U.S. Persons (December 20, 1994 CFTC No-Action Letter issued to Deutsche Terminbörse) ^{2, 17} | None offered | Approved for Eligible U.S. Institutions, including weekly options with expiries on 1st, 2nd, 4th and 5th Fridays (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | DivDAX | Not approved | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | Dow Jones Banks Titans 30 | Not approved | None offered | None offered | None offered |
| Germany/Eurex ¹³ | Dow Jones Insurance Titans 30 | Not approved | None offered | None offered | None offered |
| Germany/Eurex ¹³ | Dow Jones Oil and Gas Titans 30 | Not approved | None offered | None offered | None offered |
| Germany/Eurex ¹³ | Dow Jones Telecommunications Titans 30 | Not approved | None offered | None offered | None offered |
| Germany/Eurex ¹³ | Dow Jones Utilities Titans 30 | Not approved | None offered | None offered | None offered |
| Germany/Eurex ¹³ | Dow Jones Global Titans 50 (EUR) | Approved for sale to U.S. Persons (July 18, 2002 CFTC No-Action Letter issued to Eurex) | None offered | Not approved ¹⁵ | None offered |
| Germany/Eurex ¹³ | Dow Jones Global Titans 50 Index (USD) | Approved for sale to U.S. Persons (June 4, 2012 certification) | None offered | None offered | None offered |

For the definitions of "Eligible U.S. Institution" and "Eligible Option" and for other explanatory information, please see the endnotes at the end of this table.

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|-----------------------------------|--|------------------------------|---|--------------|
| Germany/Eurex ¹³ | Dow Jones Italy Titans 30 | Approved for sale to U.S. Persons (November 23, 2005 CFTC No-Action Letter issued to Eurex) | None offered | Not approved | None offered |
| Germany/Eurex ¹³ | EURO STOXX | Approved for sale to U.S. Persons (June 4, 2012 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | EURO STOXX 50 | Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to Eurex) ^{2, 17} | None offered | Approved for Eligible U.S. Institutions, including weekly options with expiries on 1st, 2nd, 4th and 5th Fridays (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | EURO STOXX 50 Dividend | Approved for sale to U.S. Persons (November 11, 2016 request pursuant to CFTC Rule 48.10) | None offered | Not approved | None offered |
| Germany/Eurex ¹³ | EURO STOXX 50 Ex Financials Index | Approved for sale to U.S. Persons (August 27, 2012 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | EURO STOXX 50 Quanto | Approved for sale to U.S. Persons (March 21, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | EURO STOXX 50 Total Return | Approved for sale to U.S. Persons (March 9, 2017 certification) | None offered | None offered | None offered |

For the definitions of "Eligible U.S. Institution" and "Eligible Option" and for other explanatory information, please see the endnotes at the end of this table.

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|-----------------------------------|---|---|--|--------------|
| Germany/Eurex ¹³ | EURO STOXX 50 Variance | Approved for sale to U.S. Persons (November 15, 2014 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | EURO STOXX 50 Volatility (VSTOXX) | Approved for sale to U.S. Persons (July 20, 2012 certification) | Approved for sale to U.S. Persons (July 20, 2012 certification) | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | EURO STOXX Banks | Not approved ⁶ | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) ¹⁴ | None offered |
| Germany/Eurex ¹³ | EURO STOXX Banks Dividend | Not approved | None offered | None offered | None offered |
| Germany/Eurex ¹³ | EURO STOXX Large | Approved for sale to U.S. Persons (June 4, 2012 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | EURO STOXX Mid | Approved for sale to U.S. Persons (June 4, 2012 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | EURO STOXX Select Dividend 30 | Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|---|--|------------------------------|---|--------------|
| Germany/Eurex ¹³ | EURO STOXX Small | Approved for sale to U.S. Persons (June 4, 2012 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | Various other EURO STOXX Sector Indices | Not approved | None offered | Certain of these options are approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) ¹⁴ | None offered |
| Germany/Eurex ¹³ | KOSPI 200 Options | Daily futures not approved | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MDAX | Approved for sale to U.S. Persons (March 14, 2006 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | Mini DAX | Approved for sale to U.S. Persons (October 22, 2015 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI AC Asia Pacific | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI AC Asia Pacific ex-Japan | Approved for sale to U.S. Persons (June 17, 2013 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | MSCI ACWI Net Total Return (EUR) | Approved for sale to U.S. Persons (November 28, 2016 certification) | None offered | None offered | None offered |

For the definitions of "Eligible U.S. Institution" and "Eligible Option" and for other explanatory information, please see the endnotes at the end of this table.

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|--|--|------------------------------|--|--------------|
| Germany/Eurex ¹³ | MSCI ACWI Net Total Return (USD) | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI ACWI ex US | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Australia | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Canada Gross Total Return (USD) | Approved for sale to U.S. Persons (March 28, 2017 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Canada Net Total Return (USD) | Approved for sale to U.S. Persons (March 28, 2017 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI China Free | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Emerging Markets | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | Not approved | Not approved |
| Germany/Eurex ¹³ | MSCI Emerging Markets Net Total Return (EUR) | Approved for sale to U.S. Persons (March 31, 2015 certification) | None offered | Not approved | None offered |
| Germany/Eurex ¹³ | MSCI Emerging Markets Asia | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|--------------------------------------|---|------------------------------|--|--------------|
| Germany/Eurex ¹³ | MSCI Emerging Markets EMEA | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | MSCI Emerging Markets Price Index | Approved for sale to U.S. Persons (March 31, 2015 certification) | None offered | Not approved | None offered |
| Germany/Eurex ¹³ | MSCI Emerging Markets Latin America | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | Not approved | None offered |
| Germany/Eurex ¹³ | MSCI EMU Gross Total Return (EUR) | Approved for sale to U.S. Persons (November 28, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI EMU Net Total Return (EUR) | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Europe | Approved for sale to U.S. Persons (June 17, 2013 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | Not approved |
| Germany/Eurex ¹³ | MSCI Europe ex-Switzerland | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Europe Gross Total Return (EUR) | Approved for sale to U.S. Persons (November 28, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Europe Gross Total Return (USD) | Approved for sale to U.S. Persons (November 28, 2016 certification) | None offered | None offered | None offered |

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| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|--------------------------------------|--|------------------------------|--|--------------|
| Germany/Eurex ¹³ | MSCI Europe Growth | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | MSCI Europe Net Total Return (USD) | Approved for sale to U.S. Persons (June 22, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Europe Price Index | Approved for sale to U.S. Persons (March 31, 2015 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | MSCI Europe Value | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | MSCI France Gross Total Return (EUR) | Approved for sale to U.S. Persons (March 28, 2017 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI France Net Total Return (EUR) | Approved for sale to U.S. Persons (March 28, 2017 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Frontier Markets Index | Approved for sale to U.S. Persons (July 14, 2014 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Hong Kong | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|---|--|------------------------------|----------------|--------------|
| Germany/Eurex ¹³ | MSCI India | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Indonesia | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Japan | Approved for sale to U.S. Persons (June 17, 2013 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Japan Gross Total Return (USD) | Approved for sale to U.S. Persons (June 22, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Kokusai Gross Total Return | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Kokusai Net Total Return | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Malaysia | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Mexico | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI North America Gross Total Return (USD) | Approved for sale to U.S. Persons (March 28, 2017 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI North America Net Total Return (USD) | Approved for sale to U.S. Persons (March 28, 2017 certification) | None offered | None offered | None offered |

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| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|--|---|------------------------------|--|--------------|
| Germany/Eurex ¹³ | MSCI Pacific Gross Total Return | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Pacific Net Total Return | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Pacific ex Japan | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Russia | Not approved | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | MSCI South Africa | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI Thailand | Approved for sale to U.S. Persons (February 4, 2014 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI United Kingdom Net Total Return (GBP) | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI United Kingdom Net Total Return (USD) | Approved for sale to U.S. Persons (November 28, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI USA Gross Total Return (USD) | Approved for sale to U.S. Persons (November 28, 2016 certification) | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|-------------------------------------|---|------------------------------|----------------|--------------|
| Germany/Eurex ¹³ | MSCI USA Net Total Return (USD) | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI USA Equal Weighted | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI USA Momentum | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI USA Quality | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI USA Value Weighted | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI World Gross Total Return (EUR) | Approved for sale to U.S. Persons (November 28, 2016 certification) | None offered | Not approved | None offered |
| Germany/Eurex ¹³ | MSCI World Gross Total Return (USD) | Approved for sale to U.S. Persons (November 28, 2016 certification) | None offered | Not approved | None offered |
| Germany/Eurex ¹³ | MSCI World MidCap | Approved for sale to U.S. Persons (August 4, 2016 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | MSCI World Net Total Return (EUR) | Approved for sale to U.S. Persons (March 31, 2015 certification) | None offered | Not approved | None offered |
| Germany/Eurex ¹³ | MSCI World Net Total Return (USD) | Approved for sale to U.S. Persons (June 17, 2013 certification) | None offered | Not approved | None offered |

For the definitions of "Eligible U.S. Institution" and "Eligible Option" and for other explanatory information, please see the endnotes at the end of this table.

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|-------------------------|--|------------------------------|--|--------------|
| Germany/Eurex ¹³ | MSCI World Price Index | Approved for sale to U.S. Persons (March 31, 2015 certification) | None offered | Not approved | None offered |
| Germany/Eurex ¹³ | OMXH25 (HEX 25 Finnish) | Not approved | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | RDX EUR | Not approved | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | RDX USD ⁹ | Not approved | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | SENSEX | Not approved | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | Single name equities | Not approved | None offered | Approved for Eligible U.S. Institutions for options on equities listed and traded on other exchanges excluding U.S. securities (December 1, 2015 Representation Letter from Eurex to SEC) ⁴ | None offered |

For the definitions of “Eligible U.S. Institution” and “Eligible Option” and for other explanatory information, please see the endnotes at the end of this table.

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|--|--|------------------------------|--|--------------|
| Germany/Eurex ¹³ | SLI Swiss Leader | Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | STOXX Europe 50 | Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to Eurex) ² | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | STOXX Europe 600 | Approved for sale to U.S. Persons (June 4, 2012 certification; see also July 18, 2007 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | STOXX Europe 600 Banks | Approved for sale to U.S. Persons (April 2, 2002 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) ¹⁴ | None offered |
| Germany/Eurex ¹³ | STOXX Europe 600 Industrial Goods & Services | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) ¹⁴ | None offered |
| Germany/Eurex ¹³ | STOXX Europe 600 Insurance | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) ¹⁴ | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|---|--|------------------------------|---|--------------|
| Germany/Eurex ¹³ | STOXX Europe 600 Media | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) ¹⁴ | None offered |
| Germany/Eurex ¹³ | STOXX Europe 600 Personal & Household Goods | Not approved ⁵ | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) ¹⁴ | None offered |
| Germany/Eurex ¹³ | STOXX Europe 600 Travel & Leisure | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) ¹⁴ | None offered |
| Germany/Eurex ¹³ | STOXX Europe 600 Utilities | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) ¹⁴ | None offered |
| Germany/Eurex ¹³ | Various other STOXX Europe 600 Sector Indices | Not approved | None offered | Certain of these options are approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) ¹⁴ | None offered |
| Germany/Eurex ¹³ | STOXX Europe Large 200 | Approved for sale to U.S. Persons (June 4, 2012 certification; <i>see also</i> February 6, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|-----------------------------|----------------------------------|---|------------------------------|--|----------------------------|
| Germany/Eurex ¹³ | STOXX Europe Mid 200 | Approved for sale to U.S. Persons (June 4, 2012 certification; see also July 18, 2007 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | STOXX Europe Small 200 | Approved for sale to U.S. Persons (June 4, 2012 certification; see also February 6, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | STOXX Global Select Dividend 100 | Approved for sale to U.S. Persons (February 2, 2016 certification) | None offered | Not approved | None offered |
| Germany/Eurex ¹³ | Swiss Market Index (SMI) | Not approved | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | Not approved ¹⁵ |
| Germany/Eurex ¹³ | Swiss Market Index Midcap (SMIM) | Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |
| Germany/Eurex ¹³ | TA-25 | Approved for sale to U.S. Persons (July 14, 2014 certification) | None offered | None offered | None offered |
| Germany/Eurex ¹³ | TecDAX | Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to Eurex) | None offered | Approved for Eligible U.S. Institutions (December 1, 2015 Representation Letter from Eurex to SEC) | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|----------------------------|--|------------------------------|--|---|
| Greece/Athens Exchange Derivatives Market | FTSE/ATHEX Large Cap | Not approved | None offered | Not approved | None offered |
| Greece/Athens Exchange Derivatives Market | FTSE/ATHEX Mid Cap | Not approved | None offered | Not approved | None offered |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) ¹³ | CES China 120 | Approved for sale to U.S. Persons (September 17, 2013 certification) | None offered | None offered | See Hong Kong/The Stock Exchange of Hong Kong Limited – exchange-traded funds |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) ¹³ | DJIA | Not approved | None offered | None offered | See Hong Kong/The Stock Exchange of Hong Kong Limited – exchange-traded funds |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) ¹³ | FTSE/Xinhua China 25 | Approved for sale to U.S. Persons (September 26, 2006 CFTC No-Action Letter issued to HKFE) | None offered | Not approved | See Hong Kong/The Stock Exchange of Hong Kong Limited – exchange-traded funds |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) ¹³ | Hang Seng | Approved for sale to U.S. Persons (June 1, 1994 CFTC No-Action Letter issued to HKFE) ² | None offered | Approved for Eligible U.S. Institutions (August 24, 2015 Representation Letter from Hong Kong Futures Exchange Limited to SEC; September 26, 1995 SEC No-Action Letter issued to HKFE) | See Hong Kong/The Stock Exchange of Hong Kong Limited – exchange-traded funds |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) ¹³ | HSCEI Dividend Point Index | Not Approved | None offered | None offered | See Hong Kong/The Stock Exchange of Hong Kong Limited – exchange-traded funds |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|--|---|------------------------------|---|---|
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) ¹³ | H-Shares (Hang Seng China Enterprises Index) | Approved for sale to U.S. Persons (September 26, 2006 CFTC No-Action Letter issued to HKFE) | None offered | Approved for Eligible U.S. Institutions (August 24, 2015 Representation Letter from Hong Kong Futures Exchange Limited to SEC) | See Hong Kong/The Stock Exchange of Hong Kong Limited – exchange-traded funds |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) ¹³ | HSI Volatility Index (VHSI) | Approved for sale to U.S. Persons (September 17, 2013 certification) | None offered | None offered | See Hong Kong/The Stock Exchange of Hong Kong Limited – exchange-traded funds |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) ¹³ | Mini Hang Seng | Approved for sale to U.S. Persons (January 16, 2009 CFTC No-Action Letter issued to HKFE) | None offered | Approved for Eligible U.S. Institutions (August 24, 2015 Representation Letter from Hong Kong Futures Exchange Limited to SEC) | See Hong Kong/The Stock Exchange of Hong Kong Limited – exchange-traded funds |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) ¹³ | Mini Hang Seng China Enterprises Index | Approved for sale to U.S. Persons (January 16, 2009 CFTC No-Action Letter issued to HKFE) | None offered | Not approved | See Hong Kong/The Stock Exchange of Hong Kong Limited – exchange-traded funds |
| Hong Kong/The Stock Exchange of Hong Kong Limited (SEHK) ¹³ | Single name equities | None offered | None offered | Approved for Eligible U.S. Institutions (August 24, 2015 Representation Letter from The Stock Exchange of Hong Kong Limited to SEC) | See Hong Kong/The Stock Exchange of Hong Kong Limited – exchange-traded funds |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|--|--|------------------------------|----------------|---|
| Hong Kong/The Stock Exchange of Hong Kong Limited (SEHK) ¹³ | Exchange-traded funds | None offered | None offered | None offered | Approved for Eligible U.S. Institutions (August 24, 2015 Representation Letter from The Stock Exchange of Hong Kong Limited to SEC) |
| Hungary/Budapest Stock Exchange | BUX | Not approved | None offered | Not approved | None offered |
| India/Bombay Stock Exchange (BSE) | BSE Sensitive Index of 30 Stocks (Sensex) ⁷ | Approved for sale to U.S. Persons (June 14, 2006 CFTC No-Action Letter issued to BSE) | None offered | Not approved | None offered |
| India/Bombay Stock Exchange (BSE) | DOLLEX-30 ⁷ | Not approved | None offered | Not approved | None offered |
| India/Bombay Stock Exchange (BSE) | S&P BSE 100 ⁷ | Approved for sale to U.S. Persons (September 24, 2013 certification) | None offered | Not approved | None offered |
| India/National Stock Exchange of India Ltd. (NSE) | Bank Nifty ⁷ | Not approved | None offered | Not approved | None offered |
| India/National Stock Exchange of India Ltd. (NSE) | CNXIT ⁷ | Not approved | None offered | Not approved | None offered |
| India/National Stock Exchange of India Ltd. (NSE) | S&P CNX Nifty (“Nifty 50”) ⁷ | Approved for sale to U.S. Persons (October 17, 2003 CFTC No-Action Letter issued to NSE) | None offered | Not approved | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|----------------------|--|------------------------------|--|--------------|
| Ireland/Irish Stock Exchange | Single name equities | None offered | None offered | Not approved (<i>but see</i> Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,4} | None offered |
| Israel/Tel Aviv Stock Exchange | TA-25 | Not approved | None offered | Not approved | None offered |
| Israel/Tel Aviv Stock Exchange | TA Banks | Not approved | None offered | Not approved | None offered |
| Italy/Borsa Italiana – IDEM ¹³ | FTSE MIB Dividend | Approval pending | None offered | None offered | None offered |
| Italy/Borsa Italiana – IDEM ¹³ | FTSE MIB | Approved for sale to U.S. Persons (August 30, 2004 CFTC No-Action Letter issued to Borsa Italiana) | None offered | Approved for Eligible U.S. Institutions (September 4, 2015 Representation Letter from Borsa Italiana to SEC; September 24, 2004 SEC No-Action Letter issued to Borsa Italiana) | None offered |
| Italy/Borsa Italiana – IDEM ¹³ | Mini FTSE MIB | Approved for sale to U.S. Persons (August 30, 2004 CFTC No-Action Letter issued to Borsa Italiana) | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|--------------------------------------|--|------------------------------|---|--------------|
| Italy/Borsa Italiana – IDEM ¹³ | Single name equities | Not approved | None offered | Approved for Eligible U.S. Institutions (September 4, 2015 Representation Letter from Borsa Italiana to SEC; <i>see also</i> September 1, 1998 SEC No-Action Letter issued to Borsa Italiana with respect to options on individual ISE equities) (<i>see also</i> Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,4} | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | DJIA | Approved for sale to U.S. Persons (June 15, 2012 certification) | None offered | None offered | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | FTSE Japan | Approved for sale to U.S. Persons (February 13, 2003 No-Action Letter issued to OSE) | None offered | None offered | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | JPX-Nikkei Index 400 | Approved for sale to U.S. Persons (October 21, 2014 certification) | None offered | Not approved | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | Mini Nikkei 225 | Approved for sale to U.S. Persons (July 10, 2006 CFTC No-Action Letter issued to OSE) ² | None offered | None offered | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | Mini Tokyo Stock Price Index (TOPIX) | Approved for sale to U.S. Persons (November 9, 2009 CFTC No-Action Letter issued to TSE) | None offered | None offered | None offered |

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| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|------------------------------------|---|------------------------------|---|--------------|
| Japan/Osaka Exchange (OSE) ¹³ | Nikkei 225 Dividend | Not approved | None offered | None offered | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | Nikkei 225 | Approved for sale to U.S. Persons (January 16, 1992 CFTC No-Action Letter issued to OSE) ² | None offered | Approved for Eligible U.S. Institutions (July 23, 1999 SEC No-Action Letter issued to OSE) | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | Nikkei Stock Average Volatility | Approved for sale to U.S. Persons (March 9, 2012 certification) | None offered | None offered | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | Russell/Nomura Prime | Approved for sale to U.S. Persons (March 14, 2005 No-Action Letter issued to OSE) | None offered | None offered | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | Single name equities | None offered | None offered | Approved for Eligible U.S. Institutions for Eligible Options on individual equities that are listed and traded on the OSE or other Japanese exchanges and that meet OSE selection standards (March 14, 2014 Representation Letter from Tokyo Stock Exchange and Osaka Securities Exchange to SEC) | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | Tokyo Stock Exchange Mothers Index | Approved for sale to U.S. Persons (July 12, 2016 certification) | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|--|---|---|--|--------------|
| Japan/Osaka Exchange (OSE) ¹³ | Tokyo Stock Exchange REIT | Approved for sale to U.S. Persons (November 9, 2009 CFTC No-Action Letter issued to TSE) | None offered | None offered | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | TOPIX | Approved for sale to U.S. Persons (January 16, 1992 CFTC No-Action Letter issued to TSE) ² | None offered | Approved for Eligible U.S. Institutions (July 27, 1999 SEC No-Action Letter issued to TSE) | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | TOPIX 150 | Approved for sale to U.S. Persons (May 23, 2001 CFTC No-Action Letter issued to TSE) ² | None offered | Approved for Eligible U.S. Institutions (November 15, 2002 SEC No-Action Letter issued to TSE) | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | TOPIX Banks | Not approved | None offered | None offered | None offered |
| Japan/Osaka Exchange (OSE) ¹³ | TOPIX Core30 | Approved for sale to U.S. Persons (November 9, 2009 CFTC No-Action Letter issued to TSE) | None offered | None offered | None offered |
| Japan/Tokyo Financial Exchange (TFX) | Nikkei 225 Margin | Not approved | None offered | None offered | None offered |
| Korea – see South Korea | - | - | - | - | - |
| Malaysia/Bursa Malaysia Derivatives Berhad (BMD) | FTSE Bursa Malaysia Kuala Lumpur Composite | Approved for sale to U.S. Persons (June 28, 2011 CFTC No-Action Letter issued to Bursa Malaysia Derivatives Berhad) | Approved for sale to U.S. Persons (June 28, 2011 CFTC No-Action Letter issued to Bursa Malaysia Derivatives Berhad) | Not approved | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|-------------------|--|---|--|---|
| Mexico/Mexican Derivatives Exchange (MEXDER) | IPC | Approved for sale to U.S. Persons (August 24, 2006 CFTC No-Action Letter issued to Mercado Mexicano de Derivados, S.A. de C.V.) | Approved for sale to U.S. Persons (August 24, 2006 CFTC No-Action Letter issued to Mercado Mexicano de Derivados, S.A. de C.V.) | None offered | Not approved |
| Mexico/Mexican Derivatives Exchange (MEXDER) | Mini IPC | Approved for sale to U.S. Persons (March 26, 2014 certification) | None offered | None offered | None offered |
| Netherlands/Euronext Amsterdam Derivatives Markets N.V. ¹³ | AEX | Approved for sale to U.S. Persons (April 7, 2003 CFTC No-Action Letter issued to Euronext Amsterdam Derivatives Markets N.V.) | None offered | Approved for Eligible U.S. Institutions (September 17, 2014 Representation Letter from Euronext Amsterdam to SEC) ³ | See Netherlands/Euronext Amsterdam Derivatives Markets N.V. – exchange-traded funds |
| Netherlands/Euronext Amsterdam Derivatives Markets N.V. ¹³ | AEX Mini | Approved for sale to U.S. Persons (September 13, 2013 certification) | None offered | Not approved | See Netherlands/Euronext Amsterdam Derivatives Markets N.V. – exchange-traded funds |
| Netherlands/Euronext Amsterdam Derivatives Markets N.V. ¹³ | Amsterdam Mid-Kap | Not approved | None offered | Not approved | See Netherlands/Euronext Amsterdam Derivatives Markets N.V. – exchange-traded funds |
| Netherlands/Euronext Amsterdam Derivatives Markets N.V. ¹³ | FTSE Eurotop 100 | Approved for sale to U.S. Persons (May 14, 1996 CFTC No-Action Letter issued to Financieele Termijnmarkt Amsterdam) ² | None offered | Not approved | See Netherlands/Euronext Amsterdam Derivatives Markets N.V. – exchange-traded funds |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|----------------------|---|------------------------------|--|---|
| Netherlands/Euronext Amsterdam Derivatives Markets N.V. ¹³ | Light AEX | Approved for sale to U.S. Persons (April 7, 2003 CFTC No-Action Letter issued to Euronext Amsterdam Derivatives Markets N.V.) | None offered | Not approved | See Netherlands/Euronext Amsterdam Derivatives Markets N.V. – exchange-traded funds |
| Netherlands/Euronext Amsterdam Derivatives Markets N.V. ¹³ | PSI 20 | None offered (<i>but see</i> Euronext Lisbon) | None offered | Not approved ³ | See Netherlands/Euronext Amsterdam Derivatives Markets N.V. – exchange-traded funds |
| Netherlands/Euronext Amsterdam Derivatives Markets N.V. ¹³ | Single name equities | Not approved | None offered | Approved for Eligible U.S. Institutions for Eligible Options on individual equities that are listed and traded on Euronext Amsterdam or other regulated markets in Europe (September 17, 2014 Representation Letter from Euronext Amsterdam to SEC) (<i>see also</i> Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,5} | See Netherlands/Euronext Amsterdam Derivatives Markets N.V. – exchange-traded funds |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|-----------------------|--|------------------------------|---|---|
| Netherlands/Euronext Amsterdam Derivatives Markets N.V. ¹³ | Exchange-traded funds | None offered | None offered | None offered | Approved for Eligible U.S. Institutions for Eligible Options on ETFs that are listed and traded on Euronext Amsterdam or other regulated markets in Europe, and do not include component stocks that are U.S. underlying stocks (September 17, 2014 Representation Letter from Euronext Amsterdam to SEC) |
| New Zealand/NZFOE | NZX 10 | Not approved | Not approved | None offered | None offered |
| Norway/Oslo Stock Exchange | OBX | Not approved | None offered | Not approved | None offered |
| Norway/Oslo Stock Exchange | Single name equities | None offered | None offered | Not approved (<i>but see</i> U.K./ICE Futures Europe – Single name equities; U.K./Turquoise Global Holdings Limited – Single name equities) ^{3,8} | None offered |
| Poland/Warsaw Stock Exchange | WIG20 | Approved for sale to U.S. Persons (May 15, 2015 certification) | None offered | Not approved | None offered |
| Portugal/Euronext Lisbon | PSI 20 | Not approved | None offered | None offered (<i>but see</i> Euronext Amsterdam) ³ | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|----------------------|--|------------------------------|---|--------------|
| Portugal/Euronext Lisbon | Single name equities | Not approved | None offered | Not approved (<i>but see U.K./ICE Futures Europe – Single name equities</i>) ³ | None offered |
| Russia | Single name equities | - | - | See Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities; U.K./London Stock Exchange Derivatives – Single name equities ^{3,4,11} | - |
| Russia/Moscow Exchange (Moscow Interbank Currency Exchange and Russian Trading System Stock Exchange) | MICEX Index | Not approved | Not approved | None offered | None offered |
| Russia/Moscow Exchange (Moscow Interbank Currency Exchange and Russian Trading System Stock Exchange) | MICEX Index (mini) | Not approved | Not approved | None offered | None offered |
| Russia/Moscow Exchange (Moscow Interbank Currency Exchange and Russian Trading System Stock Exchange) | RTS Index | Approval pending | Not approved | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Dow Jones Thailand | Not approved (the requested approval was deemed withdrawn by the CFTC in 2004) | None offered | None offered | None offered |

For the definitions of “Eligible U.S. Institution” and “Eligible Option” and for other explanatory information, please see the endnotes at the end of this table.

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|-----------------------|--|------------------------------|----------------|--------------|
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Euro STOXX 50 | Approved for sale to U.S. Persons (March 22, 2012 certification) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | FTSE/Xinhua China A50 | Approved for sale to U.S. Persons (January 26, 2012 certification) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Mini Nikkei 225 | Approved for sale to U.S. Persons (December 23, 2009 CFTC No-Action Letter issued to SGX-DT) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Asia APEX 50 | Approved for sale to U.S. Persons (January 26, 2012 certification) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI China Free Index | Approved for sale to U.S. Persons (April 4, 2016 certification) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Hong Kong+ | Approved for sale to U.S. Persons (December 17, 1999 CFTC No-Action Letter issued to SIMEX) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI India | Approved for sale to U.S. Persons (January 20, 2014 certification) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Indonesia | Approved for sale to U.S. Persons (October 2, 2012 certification) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Malaysia Index | Approved for sale to U.S. Persons (November 25, 2014 certification) | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|---------------------|---|---|----------------|--------------|
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Philippines | Approved for sale to U.S. Persons (January 20, 2014 certification) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Singapore Free | Approved for sale to U.S. Persons (June 21, 2000 CFTC No-Action Letter issued to SGX-DT) ² | Approved for sale to U.S. Persons (June 21, 2000 CFTC No-Action Letter issued to SGX-DT) ² | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Taiwan | Approved for sale to U.S. Persons (August 29, 1997 CFTC No-Action Letter issued to SIMEX) ² | Approved for sale to U.S. Persons (August 29, 1997 CFTC No-Action Letter issued to SIMEX) ² | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Thailand | Approved for sale to U.S. Persons (January 20, 2014 certification) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Nifty Midcap 50 | Approved for sale to U.S. Persons (April 15, 2016) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Nikkei | Approved for sale to U.S. Persons (December 5, 1986 CFTC No-Action Letter issued to SIMEX) ² | Approved for sale to U.S. Persons (December 5, 1986 CFTC No-Action Letter issued to SIMEX) ² | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Nikkei 225 (USD) | Approved for sale to U.S. Persons (May 24, 2007 CFTC No-Action Letter issued to SGX-DT) | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|---|--|--|----------------|--------------|
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Nikkei 300 | Approved for sale to U.S. Persons (December 13, 1995 CFTC No-Action Letter issued to SIMEX) ² | Approved for sale to U.S. Persons (December 13, 1995 CFTC No-Action Letter issued to SIMEX) ² | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Nifty 50 | Approved for sale to U.S. Persons (January 7, 2004 CFTC No-Action Letter issued to SGX-DT) | None offered | Not approved | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | SGX MSCI Japan | Approved for sale to U.S. Persons (February 13, 2003 CFTC No-Action Letter issued to SGX-DT) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | SGX Straits Times (STI) | Not approved | None offered | None offered | None offered |
| South Africa/JSE/SAFEX | ALSI/FTSE JSE 40 | Approved for sale to U.S. Persons (June 28, 2002 CFTC No-Action Letter issued to SAFEX) | None offered | Not approved | None offered |
| South Africa/JSE/SAFEX | Financial | Not approved | None offered | None offered | None offered |
| South Africa/JSE/SAFEX | FTSE/JSE Shareholder Weighted Top 40 Index (DTOP) | Not approved | Not approved | None offered | None offered |
| South Africa/JSE/SAFEX | Industrial | Not approved | None offered | None offered | None offered |
| South Africa/JSE/SAFEX | Mining | Not approved | None offered | None offered | None offered |
| South Korea/Korea Exchange | KOSDAQ 150 | Not approved | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--------------------------------------|----------------------|--|------------------------------|--|--------------|
| South Korea/Korea Exchange | KOSPI 200 | Approved for sale to U.S. Persons (November 26, 2008 CFTC No-Action Letter issued to Korea Futures Exchange) | None offered | Not approved | None offered |
| South Korea/Korea Exchange | Mini KOSPI 200 | Not approved | None offered | Not approved | None offered |
| Spain/Madrid Stock Exchange | Single name equities | None offered | None offered | Not approved (<i>but see</i> Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,4} | None offered |
| Spain/MEFF | IBEX 35 | Approved for sale to U.S. Persons (August 8, 1994 CFTC No-Action Letter issued to MEFF) ² | None offered | Not approved | None offered |
| Spain/MEFF | IBEX 35 Mini | Not approved | Not approved | None offered | None offered |
| Spain/MEFF | S&P Euro | Approved for sale to U.S. Persons (June 14, 2001 CFTC No-Action Letter issued to MEFF) | None offered | None offered | None offered |
| Spain/MEFF | S&P Europe 350 | Approved for sale to U.S. Persons (June 14, 2001 CFTC No-Action Letter issued to MEFF) | None offered | None offered | None offered |
| Sweden/Stockholm Stock Exchange Ltd. | OMXcap | Approved for sale to U.S. Persons (May 25, 2000 CFTC No-Action Letter issued to OM Stockholm) ² | None offered | Not approved | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|-----------------------|--|------------------------------|---|--|
| Sweden/Stockholm Stock Exchange Ltd. | OMXS30 (formerly OMX) | Approved for sale to U.S. Persons (June 3, 1998 CFTC No-Action Letter issued to OM Stockholm, and reaffirmed April 17, 2007, CFTC No-Action Letter issued to Stockholm Stock Exchange Ltd. (formerly OM Stockholm)) ² | None offered | Not approved | None offered |
| Sweden/Stockholm Stock Exchange Ltd. | Single name equities | Not approved | None offered | Not approved (<i>but see</i> Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities; U.K./Turquoise Global Holdings Limited – Single name equities) ^{3,4,8} | None offered |
| Sweden/Stockholm Stock Exchange Ltd. | VINX30 | Approved for sale to U.S. Persons (November 13, 2007 CFTC No-Action Letter issued to OMX Stockholm) | None offered | Not approved | Not approved |
| Switzerland/SIX Swiss Exchange Ltd | Single name equities | None offered | None offered | Not approved (<i>but see</i> Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,4} | None offered |
| Taiwan/Taiwan Futures Exchange ¹³ | DJIA | Approved for sale to U.S. Persons (May 9, 2017 certification) | None offered | None offered | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|--|--|------------------------------|--|--|
| Taiwan/Taiwan Futures Exchange ¹³ | Electronic Sector | Not approved ¹⁸ | None offered | Approved for Eligible U.S. Institutions (February 2, 2016 Representation Letter from Taiwan Futures Exchange to SEC) | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |
| Taiwan/Taiwan Futures Exchange ¹³ | Finance Sector | Approved for sale to U.S. Persons (May 16, 2005 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | Approved for Eligible U.S. Institutions (February 2, 2016 Representation Letter from Taiwan Futures Exchange to SEC) | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |
| Taiwan/Taiwan Futures Exchange ¹³ | Taipei Exchange Capitalization Weighted Stock Index | Approved for sale to U.S. Persons (October 24, 2011 No-Action Letter issued to Taiwan Futures Exchange) | None offered | Approved for Eligible U.S. Institutions (February 2, 2016 Representation Letter from Taiwan Futures Exchange to SEC) | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |
| Taiwan/Taiwan Futures Exchange ¹³ | Mini-TAIEX | Approved for sale to U.S. Persons (June 2, 2004 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | None offered | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |
| Taiwan/Taiwan Futures Exchange ¹³ | MSCI Taiwan (Taiwan Futures Exchange delisted the product on September 22, 2011) | Approved for sale to U.S. Persons (October 11, 2006 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | Not approved | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |
| Taiwan/Taiwan Futures Exchange ¹³ | Nifty 50 | Approved for sale to U.S. Persons (November 8, 2016 certification) | None offered | None offered | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|---|---|------------------------------|--|--|
| Taiwan/Taiwan Futures Exchange ¹³ | S&P 500 | Approved for sale to U.S. Persons (May 9, 2017 certification) | None offered | None offered | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |
| Taiwan/Taiwan Futures Exchange ¹³ | TAIEX | Approved for sale to U.S. Persons (July 1, 2004 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | Approved for Eligible U.S. Institutions (February 2, 2016 Representation Letter from Taiwan Futures Exchange to SEC) | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |
| Taiwan/Taiwan Futures Exchange ¹³ | Taiwan Stock Exchange Non-Finance Non-Electronics | Approved for sale to U.S. Persons (April 1, 2008 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | Approved for Eligible U.S. Institutions (February 2, 2016 Representation Letter from Taiwan Futures Exchange to SEC) | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |
| Taiwan/Taiwan Futures Exchange ¹³ | TOPIX | Approved for sale to U.S. Persons (July 26, 2016 certification) | None offered | None offered | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |
| Taiwan/Taiwan Futures Exchange ¹³ | TSEC Taiwan 50 | Not approved | None offered | None offered | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|-----------------------|-------------------|------------------------------|--|--|
| Taiwan/Taiwan Futures Exchange ¹³ | Single name equities | Not approved | None offered | Approved for Eligible U.S. Institutions for Eligible Options on individual stock listed on the Taiwan Stock Exchange Corporation (TWSE) or the Taipei Exchange (TPEX), and meet the stock selection criteria established by the Taiwan Futures Exchange (February 2, 2016 Representation Letter from Taiwan Futures Exchange to SEC) | See Taiwan/Taiwan Futures Exchange – exchange-traded funds |
| Taiwan/Taiwan Futures Exchange ¹³ | Exchange-traded funds | Not approved | None offered | None offered | Approved for Eligible U.S. Institutions for Eligible Options on ETFs that are listed and traded on TWSE or TPEX or track indices, which are based on stocks listed on Taiwan or China stock markets, but in any case, do not include component stocks that are U.S. underlying stocks (February 2, 2016 Representation Letter from Taiwan Futures Exchange to SEC) |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---|---|--|------------------------------|--|--------------|
| Thailand/Thailand Futures Exchange PCL | SET50 | Approved for sale to U.S. Persons (November 26, 2008 CFTC No-Action Letter issued to Thailand Futures Exchange) | None offered | Not approved | None offered |
| Turkey/Borsa İstanbul Derivatives Market (VIOP) | BIST 30 (formerly ISE 30) ¹⁰ | Approved for sale to U.S. Persons (August 17, 2010 CFTC No-Action Letter issued to Turkish Derivatives Exchange) | None offered | Not approved | None offered |
| U.K./ICE Futures Europe ¹³ | AEX | None offered | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) ³ | None offered |
| U.K./ICE Futures Europe ¹³ | BEL 20 | None offered | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) ³ | None offered |
| U.K./ICE Futures Europe ¹³ | CAC 40 | None offered | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) ³ | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---------------------------------------|--------------------------------------|---|------------------------------|--|--------------|
| U.K./ICE Futures Europe ¹³ | FTSE 100 | Approved for sale to U.S. Persons (January 16, 1990 CFTC No-Action Letter issued to LIFFE) ² | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) (see also May 1, 1992 SEC No-Action Letter issued to LIFFE) ³ | None offered |
| U.K./ICE Futures Europe ¹³ | FTSE 100 Dividend - RDSA Withholding | Not approved | None offered | None offered | None offered |
| U.K./ICE Futures Europe ¹³ | FTSE 100 FLEX | None offered | None offered | Approved for Eligible U.S. Institutions (March 6, 1996 SEC No-Action Letter issued to LIFFE) | None offered |
| U.K./ICE Futures Europe ¹³ | FTSE 250 (GBP 2 Multiplier) | Approved for sale to U.S. Persons (January 10, 2014 certification) | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) ³ | None offered |
| U.K./ICE Futures Europe ¹³ | FTSE 250 (Mid-Cap) | Approved for sale to U.S. Persons (July 27, 1994 CFTC No-Action Letter issued to LIFFE) ² | None offered | None offered | None offered |
| U.K./ICE Futures Europe ¹³ | FTSE Eurobloc 100 | Approved for sale to U.S. Persons (April 5, 2000 CFTC No-Action Letter issued to LIFFE) ² | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---------------------------------------|-----------------------------|---|------------------------------|--|--------------|
| U.K./ICE Futures Europe ¹³ | FTSEurofirst 80 | Approved for sale to U.S. Persons (January 22, 2004 CFTC No-Action Letter issued to LIFFE) ² | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) ³ | None offered |
| U.K./ICE Futures Europe ¹³ | FTSEurofirst 100 | Approved for sale to U.S. Persons (January 22, 2004 CFTC No-Action Letter issued to LIFFE) ² | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) ³ | None offered |
| U.K./ICE Futures Europe ¹³ | FTSE Eurotop 100 | Approved for sale to U.S. Persons (March 10, 2000 CFTC No-Action Letter issued to LIFFE) ² | None offered | None offered | None offered |
| U.K./ICE Futures Europe ¹³ | FTSE Eurotop 300 | Approved for sale to U.S. Persons (April 5, 2000 CFTC No-Action Letter issued to LIFFE) ² | None offered | None offered | None offered |
| U.K./ICE Futures Europe ¹³ | FTSE Eurotop 300 (ex. U.K.) | Approved for sale to U.S. Persons (April 5, 2000 CFTC No-Action Letter issued to LIFFE) ² | None offered | None offered | None offered |
| U.K./ICE Futures Europe ¹³ | Mini FTSE 100 | Approved for sale to U.S. Persons (May 30, 2001 CFTC No-Action Letter issued to LIFFE) ² | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|---------------------------------------|--|--|------------------------------|--|--------------|
| U.K./ICE Futures Europe ¹³ | MSCI Europe Net Total Return (EUR) ¹⁶ | Approved for sale to U.S. Persons (December 6, 2013 certification) | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) ³ | None offered |
| U.K./ICE Futures Europe ¹³ | MSCI India | Approved for sale to U.S. Persons (September 24, 2014 certification) | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) ³ | None offered |
| U.K./ICE Futures Europe ¹³ | MSCI Pan-Euro | Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to LIFFE) ² | None offered | None offered | None offered |
| U.K./ICE Futures Europe ¹³ | MSCI World | Approved for sale to U.S. Persons (September 24, 2014 certification) | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) ³ | None offered |
| U.K./ICE Futures Europe ¹³ | PSI 20 | None offered | None offered | Approved for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) ³ | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|---|--|------------------------------|--|--------------|
| U.K./ICE Futures Europe ¹³ | Single name equities | Not approved | None offered | Approved for Eligible Options on individual equity securities on specified non-U.S. exchanges ¹² for Eligible U.S. Institutions (March 28, 2014 Representation Letter from ICE Futures Europe to SEC) | None offered |
| U.K./ICE Futures Europe ¹³ | TOPIX | Approved for sale to U.S. Persons (December 1, 2011 certification) | None offered | None offered | None offered |
| U.K./London Stock Exchange Derivatives ¹³ | BIST 30 | Approved for sale to U.S. Persons (October 6, 2015 certification) | None offered | Not approved | None offered |
| U.K./London Stock Exchange Derivatives ¹³ | FTSE 100 | Approved for sale to U.S. Persons (August 28, 2014 certification) | None offered | Approved for Eligible U.S. Institutions (November 12, 2014 Representation Letter from London Stock Exchange to SEC) ³ | None offered |
| U.K./London Stock Exchange Derivatives ¹³ | FTSE Russia IOB (FTSE RIOB) | Not approved | None offered | Approved for Eligible U.S. Institutions (November 12, 2014 Representation Letter from London Stock Exchange to SEC) | None offered |
| U.K./London Stock Exchange Derivatives ¹³ | FTSE UK Large Cap Super Liquid (FTSE SLQ) | Approved for sale to U.S. Persons (August 28, 2014 certification) | None offered | None offered | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|-----------------------------------|--|------------------------------|--|--------------|
| U.K./London Stock Exchange Derivatives ¹³ | Single name equities | None offered | None offered | Approved for Eligible U.S. Institutions for Eligible Options on individual equity securities offered by the London Stock Exchange (November 12, 2014 Representation Letter from London Stock Exchange to SEC) (see also Germany/Eurex – Single name equities; U.K./ICE Futures Europe – Single name equities) ^{3,4} | None offered |
| U.K./Turquoise Global Holdings Limited | FTSE 100 | Approved for sale to U.S. Persons (June 1, 2012 certification) | None offered | Not approved ³ | None offered |
| U.K./Turquoise Global Holdings Limited | OMXC20 (formerly KFX)/Flex OMXC20 | Not approved | None offered | Approved for Eligible U.S. Institutions (October 29, 2003 SEC No-Action Letter issued to EDX London Exchange) | None offered |
| U.K./Turquoise Global Holdings Limited | OBX/Flex OBX | Not approved | None offered | Approved for Eligible U.S. Institutions (October 29, 2003 SEC No-Action Letter issued to EDX London Exchange) | None offered |

| Country/Exchange | Underlying | Futures Contracts | Options on Futures Contracts | Equity Options | ETF Options |
|--|-----------------------------------|-------------------|------------------------------|---|--------------|
| U.K./Turquoise Global Holdings Limited | OMXS30 (formerly OMX)/Flex OMXS30 | None offered | None offered | Approved for Eligible U.S. Institutions (October 29, 2003 SEC No-Action Letter issued to EDX London Exchange) | None offered |
| U.K./Turquoise Global Holdings Limited | Single name equities | None offered | None offered | Approved for options on individual Swedish, Norwegian and Danish equities ⁸ for Eligible U.S. Institutions (October 29, 2003 SEC No-Action Letter issued to EDX London Exchange) | None offered |

NOTE: This Chart covers those products and exchanges that are likely to be of greatest interest to market participants in the United States. It is not, therefore, intended to be exhaustive, and is presented without any representation or warranty as to its accuracy, completeness or timeliness. Transmission or receipt of this information does not create an attorney-client relationship with Katten Muchin Rosenman LLP, and the information herein should not be used or relied upon in regard to any particular facts or circumstances without first consulting a lawyer. The United States government administers and enforces sanctions programs and other trade restrictions that may restrict the ability of U.S. persons to engage in financial transactions with specified persons, entities, governments and/or non-state actors (and persons or enterprises associated therewith). These may include, but are not limited to, prohibitions on buying or selling securities, commodities or derivative instruments from or to, or issued by, or through transactions arranged or intermediated by, persons or entities located in or otherwise connected with various non-U.S. jurisdictions included in this Chart. This Chart does not address the potential applicability of such sanctions programs or trade restrictions. This Chart also does not express any opinion as to the potential application of the laws of any jurisdiction other than the United States. Questions regarding the permissibility of trading in products that are not included in this Chart should be addressed to Kevin M. Foley at +1.312.902.5372, Gary A. DeWaal at +1.212.940.6558, Christian B. Hennion at +1.312.902.5521 or James M. Brady at +1.312.902.5362.

“Eligible U.S. Institution”: As used in this Chart, in accordance with SEC no-action letters, the term “Eligible U.S. Institution” means, in general, a U.S. Person that (1) is a “qualified institutional buyer” as defined in Rule 144A(a)(1) under the Securities Act of 1933, and (2) has had prior actual experience in trading U.S.-listed options contracts. For information regarding working definitions of “U.S. Person” as that term is used in this Chart, please contact Katten Muchin Rosenman LLP.

“Eligible Option”: As used in this Chart, in accordance with SEC no-action letters, the term “Eligible Option” means an index option or option on an individual security traded on a Foreign Options Market that is not fungible or interchangeable with options traded on any market other than the Foreign Options Market and, accordingly, each position in an Eligible Option issued by a clearing member of the Foreign Options Market can be closed out only on the Foreign Options Market. A “Foreign Options Market” means a non-U.S. derivatives market: (1) on which Eligible Options trade; and (2) that is an organized exchange operated and regulated outside the United States. See LIFFE A&M and Class Relief, SEC No-Action Letter (Jul. 1, 2013) available [here](#).

¹ The CFTC No-Action Letter also applies to BM&F Bovespa’s “Ibovespa Rollover” and “Forward Points on the Ibovespa” transactions.

² The index is included in the CFTC’s and SEC’s joint order dated May 31, 2002, extending the exclusion of such index from the definition of “narrow-based security index” under the Commodity Exchange Act and Securities Exchange Act of 1934 beyond its expiration date of July 11, 2002 (the Joint Order). Foreign futures contracts or options on futures contracts on “narrow-based securities indexes” may not be offered or sold to U.S. Persons without the CFTC and SEC first developing joint regulations, which has not yet been done. Accordingly, the Joint Order effectively allows the stock index futures contracts and options on futures contracts that are based on the security indexes included in the Joint Order, which were granted no-action relief by the CFTC prior to the enactment of the Commodity Futures Modernization Act (CFMA) on December 21, 2000, to continue to be offered and sold to U.S. Persons after July 11, 2002, the date that the exclusion set forth in the CFMA was to expire.

³ In 2014, LIFFE’s equity and equity index option contracts were migrated to ICE Futures Europe. See [ICE Futures Europe Circular 14/146](#). ICE Futures Europe claimed class relief under the LIFFE A&M and Class Relief SEC No-Action Letter (Jul. 1, 2013) for Foreign Options Markets engaging in familiarization activities with Eligible U.S. Institutions with respect to Eligible Options. See [ICE Futures Europe Class No-Action Relief Letter](#). Subject to certain conditions, ICE Futures Europe members can effect transactions in Eligible Options through trading infrastructure offered by ICE Futures Europe. See [ICE Futures Europe Circular 14/138](#); [ICE Futures Europe Class No-Action Relief Letter](#). ICE Futures Europe maintains a list of Eligible Options, which includes: (1) individual equity options based on the securities of non-U.S. issuers; and (2) index options based on indices that contain no component securities of U.S. issuers. The list of Eligible Options is available in an Excel spreadsheet titled “List of Contract Details for Equity Products” [here](#).

⁴ Pursuant to the December 1, 2015 Representation Letter from Eurex to the SEC, Eligible U.S. Institutions may trade options on individual Austrian-, Belgian-, Finnish-, French-, German-, British-, Irish-, Italian-, Dutch-, Russian-, Spanish-, Swedish- and Swiss-issued equities.

⁵ Pursuant to Eurex Circular 281/11, the STOXX Europe 600 Personal & Household Goods futures contract is no longer tradable on Eurex trading terminals in the United States. The previously issued No-Action Letter approving such product for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to Eurex) has not been withdrawn.

⁶ Pursuant to Eurex Circular 260/11, the Euro STOXX Banks futures contract is no longer offered or distributed in the United States. See also Eurex Circular 281/11.

⁷ Additional restrictions apply to the trading of these products by U.S. Persons.

⁸ The SEC also has approved for Eligible U.S. Institutions all options on individual Swedish-, Norwegian- and Danish-issued equities where those options are now or in the future listed on the EDX London exchange. Options on equities issued in any other country have not been approved at this time for trading by U.S. Persons on the EDX London Exchange. Note: EDX London Exchange has been acquired by the Turquoise Exchange.

⁹ The RDX USD Index futures contracts have not been approved for sale to U.S. Persons. The CFTC approved a similar Eurex contract (RDXxt USD – RDX Extended Index futures contract) for sale to U.S. Persons in a no-action letter issued on March 6, 2008, but Eurex subsequently delisted the contract.

¹⁰ The Istanbul Stock Exchange (ISE) is now part of the Borsa Istanbul (BIST). As such, references to ISE 30 have been changed to BIST 30 on VIOP’s trading platform and the CFTC’s website.

¹¹ The London Stock Exchange offers options on depositary receipts on Russian equity products through its International Order Book, which are approved for trading by Eligible U.S. Institutions pursuant to the London Stock Exchange’s representation letter dated November 12, 2014 to the SEC. The list of eligible options may be accessed [here](#). Eligible U.S. Institutions may also trade certain of these options through trading infrastructure offered by ICE Futures Europe, provided all applicable conditions are met. See Footnote 4.

- ¹² ICE Futures Europe listed the following non-U.S. stock exchanges in its claim for class relief: Borsa Italiana, Copenhagen Stock Exchange, Deutsche Boerse, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Helsinki Stock Exchange, Irish Stock Exchange, London Stock Exchange, London Stock Exchange International Order Book, Madrid Stock Exchange, Oslo Stock Exchange, SIX Swiss Exchange, Stockholm Stock Exchange and Vienna Stock Exchange.
- ¹³ This exchange has claimed relief under LIFFE A&M and Class Relief SEC No-Action Letter (Jul. 1, 2013) for Foreign Options Markets engaging in familiarization activities with Eligible U.S. Institutions with respect to Eligible Options.
- ¹⁴ Pursuant to the December 1, 2015 Representation Letter from Eurex to the SEC, Eligible U.S. Institutions may trade options on the following Euro STOXX and STOXX Europe 600 Sector Indices: EURO STOXX Automobiles & Parts; EURO STOXX Banks (*see also* chart above), including weekly options with expiries on 1st, 2nd, 4th and 5th Fridays; EURO STOXX Basic Resources; EURO STOXX Chemicals; EURO STOXX Construction & Materials; EURO STOXX Financial Services; EURO STOXX Food & Beverage; EURO STOXX Health Care; EURO STOXX Industrial Goods & Services; EURO STOXX Insurance; EURO STOXX Media; EURO STOXX Oil & Gas; EURO STOXX Personal & Household Goods; EURO STOXX Real Estate; EURO STOXX Retail; EURO STOXX Technology; EURO STOXX Telecommunications; EURO STOXX Travel & Leisure; EURO STOXX Utilities; STOXX Europe 600 Automobiles & Parts; STOXX Europe 600 Banks (*see also* chart above); STOXX Europe 600 Basic Resources; STOXX Europe 600 Chemicals; STOXX Europe 600 Construction & Materials; STOXX Europe 600 Financial Services; STOXX Europe 600 Food & Beverage; STOXX Europe 600 Health Care; STOXX Europe 600 Industrial Goods & Services (*see also* chart above); STOXX Europe 600 Insurance (*see also* chart above); STOXX Europe 600 Media (*see also* chart above); STOXX Europe 600 Oil & Gas; STOXX Europe 600 Personal & Household Goods (*see also* chart above); STOXX Europe 600 Real Estate; STOXX Europe 600 Retail; STOXX Europe 600 Technology; STOXX Europe 600 Telecommunications; STOXX Europe 600 Travel & Leisure (*see also* chart above); and STOXX Europe 600 Utilities (*see also* chart above).
- ¹⁵ Eurex no longer relies on the relief provided in SEC staff's no-action letter dated July 27, 2005. As such, U.S. Persons are prohibited from trading options listed on Eurex that were included in the July 27, 2005 no-action relief but not otherwise included in subsequent no-action relief, including options on the Dow Jones Global Titans 50 index and various ETF products.
- ¹⁶ The MSCI Europe Net Total Return (EUR) Index futures contract has been approved for sale to U.S. Persons. The CFTC approved a similar MSCI contract (MSCI Euro) for sale to U.S. Persons in a no-action letter issued on April 6, 2000, but ICE Futures Europe subsequently delisted the contract.
- ¹⁷ Unless otherwise indicated, a futures contract on an ETF that tracks the same index is not approved for sale to U.S. Persons.
- ¹⁸ The futures contract on the Taiwan Futures Exchange Electronic Sector Index previously had been approved for sale to U.S. persons pursuant to a May 16, 2005 CFTC No-Action Letter issued to the Taiwan Futures Exchange. On September 30, 2016, the Taiwan Futures Exchange issued a notice providing that, as of October 1, 2016, the index shall be deemed a narrow-based index and therefore may only be offered and sold to U.S. persons in compliance with the applicable requirements of both the SEC and the CFTC.

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