

October 4, 2012

Attached please find the updated Foreign Listed Stock Index Futures and Options Approvals Chart, current as of October 4, 2012. All prior versions are superseded and should be discarded. Please note the following developments since we distributed the last Approvals Chart:

- (1) The CFTC has approved the following contracts for trading by U.S. persons: (i) BM&F Bovespa S.A.'s futures contract based on the S&P 500 Index; (ii) Eurex Deutschland's futures contract based on the Euro STOXX 50 Ex Financials Index and its mini futures contract based on the Euro STOXX 50 Volatility Index; (iii) Osaka Securities Exchange's futures contract based on the Dow Jones Industrial Average; and (iv) Singapore Exchange Derivatives Trading Limited's futures contract based on the MSCI Indonesia Index.
- (2) The SEC has not approved any new foreign equity index options since we last distributed the Approvals Chart.

For Additional Information

The information on the attached Approvals Chart is subject to change at any time. If you have questions or would like confirmation of the status of a specific contract, please contact:

| | | |
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Foreign Listed Stock Index Futures and Options Approvals

(October 2012)

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---|---|---|--|--|--------------|
| Australia/ASX/ASX Futures Exchange | S&P/ASX 50 | Approved for sale to U.S. Persons (July 24, 2002 No-Action Letter issued to ASX Futures Exchange) | None offered | Approved, including approval of options on individual Australian equities , ¹ for Eligible U.S. Institutions only (August 13, 2007 SEC No-Action Letter issued to ASX Limited) | None offered |
| Australia/ASX/ASX Futures Exchange | S&P/ASX 200 | Approved for sale to U.S. Persons (July 24, 2002 No-Action Letter issued to ASX Futures Exchange) | None offered | Approved for Eligible U.S. Institutions only (August 13, 2007 SEC No-Action Letter issued to ASX Limited) | Not approved |
| Australia/ASX/ASX Futures Exchange | S&P/ASX 200 A-Reit | Not approved | None offered | Approved for Eligible U.S. Institutions only (August 13, 2007 SEC No-Action Letter issued to ASX Limited) | None offered |
| Australia/Sydney Futures Exchange (SFE) | SPI 200 | Approved for sale to U.S. Persons (August 10, 1999 No-Action Letter issued to SFE) | Approved for sale to U.S. Persons (August 10, 1999 No-Action Letter issued to SFE) | Not approved | None offered |
| Australia/Sydney Futures Exchange (SFE) | 1-Day Option Contract based on the CBOT Mini-Sized Dow Futures Contract | None offered | Approved for sale to U.S. Persons (August 8, 2006 No-Action Letter issued to SFE) | None offered | None offered |
| Austria/Wiener Borse | ATX | Not approved | None offered | Not approved | None offered |
| Austria/Wiener Borse | Russian Traded | Not approved | None offered | Not approved | None offered |

For the definition of "Eligible U.S. Institution" and for other explanatory information, please see the endnotes at the end of this table.

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---------------------------|---|---|--|----------------------|--------------|
| Austria/Wiener Borse | CECE Indices (CEX, CTX, HTX, PTX) | Not approved | None offered | Not approved | None offered |
| Belgium/EURONEXT Brussels | BEL 20 | Approved for sale to U.S. Persons (August 31, 2011 No-Action Letter issued to Euronext Brussels) | None offered | Not approved | None offered |
| Belgium/EURONEXT Brussels | Mini BEL 20 (index participation units) | None offered | None offered | Not approved | None offered |
| Belgium/EURONEXT Brussels | Mini Dow Jones Euro STOXX (index participation units) | None offered | None offered | Not approved | None offered |
| Brazil/ BM&F Bovespa S.A. | Ibovespa | Approved for sale to U.S. Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.) ² | Approved for sale to U.S. Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.) | Not approved | None offered |
| Brazil/ BM&F Bovespa S.A. | Ibovespa Flex | None offered | None offered | Not approved | None offered |
| Brazil/ BM&F Bovespa S.A. | Mini Ibovespa | Approved for sale to U.S. Persons (August 26, 2009 No-Action Letter issued to BM&F Bovespa S.A.) | None offered | None offered | None offered |
| Brazil/ BM&F Bovespa S.A. | IBrX 50 Index Futures Contract | Approval pending | Not approved | Not approved | None offered |
| Brazil/ BM&F Bovespa S.A. | S&P 500 Index | Approved for sale to U.S. Persons (September 24, 2012 certification) | None offered | None offered | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---|---|--|------------------------------|---|--|
| Canada/Montreal Exchange (Bourse de Montréal) | S&P/TSX Canada 60 ³ | Approved for sale to U.S. Persons (December 9, 1999 CFTC No-Action Letter issued to Montreal Exchange) | None offered | Approved, including approval of all other index options and all options on individual securities listed on the Montreal Exchange , ⁴ for sale to U.S. Persons generally (Post-Effective Amendment No. 27 to Registration Statement on Form S-20 filed by Canadian Derivatives Clearing Corp.; SEC File No. 2-69458) | Not applicable |
| Canada/Montreal Exchange (Bourse de Montréal) | S&P/TSX 60 Index Mini Futures | Approved for sale to U.S. Persons (April 19, 2012 certification) | None offered | None offered | None offered |
| Canada/Montreal Exchange (Bourse de Montréal) | iUnits S&P/TSE 60 Index Participation Fund (i60 Fund) | None offered | None offered | Not applicable | Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above) |
| Canada/Montreal Exchange (Bourse de Montréal) | S&P/TSX Capped Sector | Not approved | None offered | Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above) | Not applicable |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|--|---|-------------------|------------------------------|----------------------|--|
| Canada/Montreal Exchange (Bourse de Montréal) | iUnits S&P/TSX Capped Gold Index Fund | None offered | None offered | Not applicable | Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above) |
| Canada/Montreal Exchange (Bourse de Montréal) | iUnits S&P/TSX Capped Financials Index Fund | None offered | None offered | Not applicable | Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above) |
| Canada/Montreal Exchange (Bourse de Montréal) | iUnits S&P/TSX Capped Information Technology Index Fund | None offered | None offered | Not applicable | Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above) |
| Canada/Montreal Exchange (Bourse de Montréal) | iUnits S&P/TSX Capped Energy Index Fund | None offered | None offered | Not applicable | Approved for U.S. Persons generally pursuant to Registration Statement (see S&P/TSX Canada 60 above) |
| China (see also Hong Kong and Taiwan)/China Financial Futures Exchange | CSI 300 Index Futures | Not approved | None offered | Not applicable | None offered |
| Denmark/FUTOP Market | KFX (Large/Small Contract) | Not approved | None offered | Not approved | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|-------------------------------|--|---|------------------------------|---|--------------|
| France/EURONEXT Paris S.A. | CAC 40 ³ | Approved for sale to U.S. Persons (December 17, 1991 No-Action Letter issued to ParisBourse) | None offered | Approved, including approval of options on individual ParisBourse equities , ⁴ for Eligible U.S. Institutions only (July 17, 1996 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse) | None offered |
| France/EURONEXT Paris S.A. | Dow Jones STOXX 50 ³ | Approved for sale to U.S. Persons (February 29, 2000 CFTC No-Action Letter issued to ParisBourse) | None offered | Approved for Eligible U.S. Institutions only (October 26, 1998 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse) | None offered |
| France/EURONEXT Paris S.A. | Dow Jones Euro STOXX 50 ³ | Approved for sale to U.S. Persons (February 29, 2000 CFTC No-Action Letter issued to ParisBourse) | None offered | Approved for Eligible U.S. Institutions only (October 26, 1998 SEC No-Action Letter issued to MONEP, confirmed by December 6, 1999 SEC No-Action Letter issued to ParisBourse) | None offered |
| France/EURONEXT Paris S.A. | Dow Jones STOXX 600 Sectors (Bank, Energy, Healthcare, Technology, Telecommunications) | Not approved | None offered | Not approved | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|----------------------------|----------------------------|--|------------------------------|--|----------------|
| France/EURONEXT Paris S.A. | FTSEurofirst 80 | Approved for sale to U.S. Persons (March 5, 2007 CFTC No-Action Letter issued to EURONEXT LIFFE, on behalf of EURONEXT Paris S.A.) | None offered | None offered | None offered |
| France/EURONEXT Paris S.A. | FTSEurofirst 100 | Approved for sale to U.S. Persons (March 5, 2007 CFTC No-Action Letter issued to EURONEXT LIFFE, on behalf of EURONEXT Paris S.A.) | None offered | None offered | None offered |
| France/EURONEXT Paris S.A. | FTSE EPRA/NAREIT Europe | Approved for sale to U.S. Persons (July 10, 2008 CFTC No-Action Letter issued to EURONEXT LIFFE, on behalf of EURONEXT Paris S.A.) | None offered | None offered | Not approved |
| France/EURONEXT Paris S.A. | FTSE EPRA/NAREIT Euro Zone | Approved for sale to U.S. Persons (July 10, 2008 CFTC No-Action Letter issued to EURONEXT LIFFE, on behalf of EURONEXT Paris S.A.) | None offered | None offered | Not approved |
| Germany/Switzerland/EUREX | DAX ³ | Approved for sale to U.S. Persons (December 20, 1994 CFTC No-Action Letter issued to Deutsche Terminborse) | None offered | Approved, including approval of options on individual German, Swiss, Finnish, Dutch, Italian, and French equities , ⁵ for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) | Not applicable |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---------------------------|--------------------------------------|---|------------------------------|---|---|
| Germany/Switzerland/EUREX | DAX EX | Not approved | None offered | Not applicable | Approved for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) |
| Germany/Switzerland/EUREX | Dow Jones Euro STOXX 50 ³ | Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to EUREX) | None offered | Approved for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones Euro STOXX 50 Dividend | Not approved | Not approved | None offered | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX 50 ³ | Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to EUREX) | None offered | Approved for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones Euro STOXX 50 EX | Not approved | None offered | Not approved | Approved for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) |
| Germany/Switzerland/EUREX | KOSPI 200 Options ⁶ | Not approved | None offered | Not approved | None offered |
| Germany/Switzerland/EUREX | Swiss Market | Not approved | None offered | Approved for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) | Approved for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---------------------------|--|--|------------------------------|---|----------------|
| Germany/Switzerland/EUREX | SLI Swiss Leader | Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Swiss Market Index Midcap (SMIM) | Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones Global Titans 50 | Approved for sale to U.S. Persons (July 18, 2002 CFTC No-Action Letter issued to EUREX) | None offered | Approved for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones Global Titans 50 Index (US \$ Denominated) | Approved for sale to U.S. Persons (June 5, 2012 certification) | None offered | None offered | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX 600 Banking Sector | Approved for sale to U.S. Persons (April 2, 2002 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX Small 200 | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX Mid 200 | Approved for sale to U.S. Persons (June 18, 2007 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX Large 200 | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |

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| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---------------------------|---|---|------------------------------|----------------------|----------------|
| Germany/Switzerland/EUREX | Dow Jones STOXX 600 | Approved for sale to U.S. Persons (June 18, 2007 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX 600 Industrial Goods & Services | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX 600 Insurance | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX 600 Media | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX 600 Personal & Household Goods | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) ⁷ | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX 600 Retail | Not approved | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX 600 Travel & Leisure | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones STOXX 600 Utilities | Approved for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |

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| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---------------------------|---|---|------------------------------|---|----------------|
| Germany/Switzerland/EUREX | Other Dow Jones STOXX 600 Market Sectors (Technology, Healthcare, Telecommunications) | Not approved | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones Euro STOXX Banking Sector | Not approved ⁸ | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones Euro STOXX Select Dividend 30 | Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | Other Dow Jones Euro STOXX Sectors (various) | Not approved | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | HEX 25 Finnish | Not approved | None offered | Approved for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) | Not applicable |
| Germany/Switzerland/EUREX | TECDAX | Approved for sale to U.S. Persons (August 18, 2008 CFTC No-Action Letter issued to EUREX) | None offered | Approved for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) | Not applicable |
| Germany/Switzerland/EUREX | Dow Jones Italy Titans 30 | Approved for sale to U.S. Persons (November 23, 2005 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |
| Germany/Switzerland/EUREX | MDAX | Approved for sale to U.S. Persons (March 14, 2006 CFTC No-Action Letter issued to EUREX) | None offered | Not approved | Not applicable |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---------------------------|---------------------------------|---|------------------------------|----------------------|---|
| Germany/Switzerland/EUREX | iShares DJ EURO STOXX | None offered | None offered | Not applicable | Approved for Eligible U.S. Institutions only (July 27, 2005 SEC No-Action Letter issued to EUREX) |
| Germany/Switzerland/EUREX | RDXxt USD - RDX Extended | Approved for sale to U.S. Persons (March 6, 2008 CFTC No-Action Letter issued to EUREX) | None offered | None offered | Not applicable |
| Germany/Switzerland/EUREX | DJ Banks Titans 30 | Not approved | None offered | None offered | None offered |
| Germany/Switzerland/EUREX | DJ Oil and Gas Titans 30 | Not approved | None offered | None offered | None offered |
| Germany/Switzerland/EUREX | DJ Insurance Titans 30 | Not approved | None offered | None offered | None offered |
| Germany/Switzerland/EUREX | DJ Telecommunications Titans 30 | Not approved | None offered | None offered | None offered |
| Germany/Switzerland/EUREX | DJ Utilities Titans 30 | Not approved | None offered | None offered | None offered |
| Germany/Switzerland/EUREX | MSCI Japan | Not approved | None offered | None offered | None offered |
| Germany/Switzerland/EUREX | STOXX Europe 600 | Approved for sale to U.S. Persons (June 5, 2012 certification) | None offered | Not Approved | Not Applicable |
| Germany/Switzerland/EUREX | STOXX Europe Large 200 | Approved for sale to U.S. Persons (June 5, 2012 certification) | None offered | Not Approved | Not applicable |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---|-------------------------------------|---|------------------------------|----------------------|----------------|
| Germany/Switzerland/ EUREX | STOXX Europe Mid 200 | Approved for sale to U.S. Persons (June 5, 2012 certification) | None offered | Not Approved | Not Applicable |
| Germany/Switzerland/ EUREX | STOXX Europe Small 200 | Approved for sale to U.S. Persons (June 5, 2012 certification) | None offered | Not Approved | Not applicable |
| Germany/Switzerland/ EUREX | Euro STOXX | Approved for sale to U.S. Persons (June 5, 2012 certification) | None offered | Not Approved | Not Applicable |
| Germany/Switzerland/ EUREX | Euro STOXX Large | Approved for sale to U.S. Persons (June 5, 2012 certification) | None offered | Not Approved | Not Applicable |
| Germany/Switzerland/ EUREX | Euro STOXX Mid | Approved for sale to U.S. Persons (June 5, 2012 certification) | None offered | Not Approved | Not Applicable |
| Germany/Switzerland/ EUREX | Euro STOXX Small | Approved for sale to U.S. Persons (June 5, 2012 certification) | None offered | Not Approved | Not Applicable |
| Germany/Switzerland/ EUREX | Mini Euro STOXX 50 Volatility Index | Approved for sale to U.S. Persons (July 20, 2012 certification) | None offered | Not Approved | Not Applicable |
| Germany/Switzerland/ EUREX | Euro STOXX 50 Ex Financials Index | Approved for sale to U.S. Persons (August 27, 2012 certification) | None offered | Not Approved | Not Applicable |
| Greece/Athens Exchange Derivatives Market | FTSE/ASE 20 | Not approved | None offered | Not approved | None offered |
| Greece/Athens Exchange Derivatives Market | FTSE/ASE Mid-40 | Not approved | None offered | Not approved | None offered |

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| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|--|--|---|------------------------------|---|--------------|
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) | Hang Seng ³ | Approved for sale to U.S. Persons (June 1, 1994 CFTC No-Action Letter issued to HKFE) | None offered | Approved for Eligible U.S. Institutions only (September 26, 1995 SEC No-Action Letter issued to HKFE) | None offered |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) | Mini Hang Seng | Approved for sale to U.S. Persons (January 16, 2009 CFTC No-Action Letter issued to HKFE) | None offered | Not approved | None offered |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) | Mini Hang Seng China Enterprises Index | Approved for sale to U.S. Persons (January 16, 2009 CFTC No-Action Letter issued to HKFE) | None offered | Not approved | None offered |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) | FTSE/Xinhua China 25 | Approved for sale to U.S. Persons (September 26, 2006 CFTC No-Action Letter issued to HKFE) | None offered | Not approved | None offered |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) | H-Shares (Hang Seng China Enterprises Index) | Approved for sale to U.S. Persons (September 26, 2006 CFTC No-Action Letter issued to HKFE) | None offered | Not approved | None offered |
| Hong Kong/Hong Kong Exchanges and Clearing Ltd. (HKEX) | DJIA | Not approved | None offered | None offered | None offered |
| Hungary/Budapest Stock Exchange | BUX | Not approved | None offered | None offered | None offered |
| India/Bombay Stock Exchange (BSE) | BSE Sensitive Index of 30 Stocks (Sensex) ⁹ | Approved for sale to U.S. Persons (June 14, 2006 CFTC No-Action Letter issued to BSE) | None offered | Not approved | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---|---|--|------------------------------|---|--------------|
| India/Bombay Stock Exchange (BSE) | DOLLEX-30 ⁹ | Not approved | None offered | Not approved | None offered |
| India/National Stock Exchange of India Ltd. (NSE) | S&P CNX Nifty (“Nifty 50”) ⁹ | Approved for sale to U.S. Persons (October 17, 2003 CFTC No-Action Letter issued to NSE) | None offered | Not approved | None offered |
| India/National Stock Exchange of India Ltd. (NSE) | CNXIT | Not approved | None offered | Not approved | None offered |
| India/National Stock Exchange of India Ltd. (NSE) | Bank Nifty | Not approved | None offered | Not approved | None offered |
| Israel/Tel Aviv Stock Exchange | TA 25 | Not approved | None offered | Not approved | None offered |
| Israel/Tel Aviv Stock Exchange | TA Banks | Not approved | None offered | Not approved | None offered |
| Italy/Borsa Italiana – IDEM | FTSE MIB | Approved for sale to U.S. Persons (August 30, 2004 CFTC No-Action Letter issued to Borsa Italiana) | None offered | Approved, including approval of options on individual ISE equities , ⁴ for Eligible U.S. Institutions only (September 24, 2004 No-Action Letter issued to Borsa Italiana; see September 1, 1998 SEC No-Action Letter issued to Borsa Italiana with respect to options on individual ISE equities) | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---------------------------------------|--|--|------------------------------|---|--------------|
| Italy/Borsa Italiana – IDEM | Mini FTSE MIB | Approved for sale to U.S. Persons (August 30, 2004 CFTC No-Action Letter issued to Borsa Italiana) | None offered | None offered | None offered |
| Italy/Borsa Italiana – IDEM | FTSE MIB Dividend | Approval Pending | None offered | None offered | None offered |
| Japan/Osaka Securities Exchange (OSE) | Nikkei 300/Intercontract Spread ³ | Approved for sale to U.S. Persons (May 17, 1994 CFTC No-Action Letter issued to OSE) | None offered | Approved, including approval of options on individual equities that are listed and traded on the OSE or other Japanese exchanges , ⁴ for Eligible U.S. Institutions only (July 23, 1999 SEC No-Action Letter issued to OSE) | None offered |
| Japan/Osaka Securities Exchange (OSE) | Nikkei 225/Intercontract Spread ³ | Approved for sale to U.S. Persons (January 16, 1992 CFTC No-Action Letter issued to OSE) | None offered | Approved for Eligible U.S. Institutions only (July 23, 1999 SEC No-Action Letter issued to OSE) | None offered |
| Japan/Osaka Securities Exchange (OSE) | Mini Nikkei 225/Intercontract Spread ³ | Approved for sale to U.S. Persons (July 11, 2006 CFTC No-Action Letter issued to OSE) | None offered | None offered | None offered |
| Japan/Osaka Securities Exchange (OSE) | Sector Indices (High Tech, Financial and Consumer Indices) | Not approved | None offered | Not approved | None offered |
| Japan/Osaka Securities Exchange (OSE) | DJIA | Approved for sale to U.S. Persons (June 15, 2012 certification) | None offered | None offered | None offered |

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| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---------------------------------------|---------------------------------|--|------------------------------|---|--------------|
| Japan/Osaka Securities Exchange (OSE) | Russell/Nomura Prime | Approved for sale to U.S. Persons (March 14, 2005 No-Action Letter issued to OSE) | None offered | None offered | None offered |
| Japan/Osaka Securities Exchange (OSE) | FTSE Japan | Approved for sale to U.S. Persons (February 13, 2003 No-Action Letter issued to OSE) | None offered | None offered | None offered |
| Japan/Osaka Securities Exchange (OSE) | MSCI Japan | Approved for sale to U.S. Persons (February 13, 2003 No-Action Letter issued to OSE) | None offered | None offered | None offered |
| Japan/Osaka Securities Exchange (OSE) | Nikkei Stock Average Volatility | Approved for sale to U.S. Persons (March 9, 2012 certification) | None offered | None offered | None offered |
| Japan/Tokyo Stock Exchange (TSE) | TOPIX ³ | Approved for sale to U.S. Persons (January 16, 1992 CFTC No-Action Letter issued to TSE) | None offered | Approved, including approval of options on individual TSE equities , ⁴ for Eligible U.S. Institutions only (July 27, 1999 SEC No-Action Letter issued to TSE) | None offered |
| Japan/Tokyo Stock Exchange (TSE) | TOPIX 150 ³ | Approved for sale to U.S. Persons (May 23, 2001 CFTC No-Action Letter issued to TSE) | None offered | Approved for Eligible U.S. Institutions only (November 15, 2002 SEC No-Action Letter issued to TSE) | None offered |
| Japan/Tokyo Stock Exchange (TSE) | TOPIX Sector | Not approved | None offered | None offered | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---|--|---|---|----------------------|--------------|
| Japan/Tokyo Stock Exchange (TSE) | TOPIX Core30 | Approved for sale to U.S. Persons (November 9, 2009 CFTC No-Action Letter issued to TSE) | None offered | None offered | None offered |
| Japan/Tokyo Stock Exchange (TSE) | Tokyo Stock Exchange REIT | Approved for sale to U.S. Persons (November 9, 2009 CFTC No-Action Letter issued to TSE) | None offered | None offered | None offered |
| Japan/Tokyo Stock Exchange (TSE) | Mini TOPIX | Approved for sale to U.S. Persons (November 9, 2009 CFTC No-Action Letter issued to TSE) | None offered | None offered | None offered |
| Korea – see South Korea | - | - | - | - | - |
| Malaysia/Bursa Malaysia Derivatives Berhad (BMD) | FTSE Bursa Malaysia Kuala Lumpur Composite | Approved for sale to U.S. Persons (June 28, 2011 CFTC No-Action Letter issued to Bursa Malaysia Derivatives Berhad) | None offered | Not approved | None offered |
| Mexico/Mexican Derivatives Exchange (MEXDER) | IPC | Approved for sale to U.S. Persons (August 24, 2006 CFTC No-Action Letter issued to Mercado Mexicano de Derivados, S.A. de C.V.) | Approved for sale to U.S. Persons (August 24, 2006 CFTC No-Action Letter issued to Mercado Mexicano de Derivados, S.A. de C.V.) | None offered | Not approved |
| Netherlands/EURONEXT Amsterdam Derivatives Markets N.V. | FTSE Eurotop 100 ³ | Approved for sale to U.S. Persons (May 14, 1996 CFTC No-Action Letter issued to Financiële Termijnmarkt Amsterdam) | None offered | Not approved | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|--|--------------------|---|------------------------------|----------------------|--------------|
| Netherlands/ EURONEXT Amsterdam Derivatives Markets N.V. | AEX | Approved for sale to U.S. Persons (April 7, 2003 CFTC No-Action Letter issued to EURONEXT Amsterdam Derivatives Markets N.V.) | None offered | Not approved | None offered |
| Netherlands/ EURONEXT Amsterdam Derivatives Markets N.V. | Light AEX | Approved for sale to U.S. Persons (April 7, 2003 CFTC No-Action Letter issued to EURONEXT Amsterdam Derivatives Markets N.V.) | None offered | Not approved | None offered |
| Netherlands/ EURONEXT Amsterdam Derivatives Markets N.V. | Amsterdam Mid-Kap | Not approved | None offered | Not approved | None offered |
| New Zealand/NZFOE | NZSE 10 | Not approved | Not approved | None offered | None offered |
| Norway/Oslo Stock Exchange | OBX | Not approved | None offered | Not approved | None offered |
| Poland/Warsaw Stock Exchange | WIG20 | Approval Pending | None offered | Not approved | None offered |
| Portugal/EURONEXT Lisbon | PSI 20 | Not approved | Not approved | None offered | None offered |
| Russian/Trading Systems Stock Exchange (RTS) | RTS Index | Approval Pending | None offered | Not Approved | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Dow Jones Thailand | Not approved (the requested approval was deemed withdrawn by the CFTC in 2004) | None offered | None offered | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|--|--------------------------|---|---|----------------------|--------------|
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Hong Kong + | Approved for sale to U.S. Persons (December 17, 1999 CFTC No-Action Letter issued to SIMEX) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Taiwan ³ | Approved for sale to U.S. Persons (August 29, 1997 CFTC No-Action Letter issued to SIMEX) | Approved for sale to U.S. Persons | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Nikkei 300 ³ | Approved for sale to U.S. Persons (December 13, 1995 CFTC No-Action Letter issued to SIMEX) | Approved for sale to U.S. Persons (December 13, 1995 CFTC No-Action Letter issued to SIMEX) | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Nikkei ³ | Approved for sale to U.S. Persons (December 5, 1986 CFTC No-Action Letter issued to SIMEX) | Approved for sale to U.S. Persons (December 5, 1986 CFTC No-Action Letter issued to SIMEX) | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | USD Nikkei | Approved for sale to U.S. Persons (May 24, 2007 CFTC No-Action Letter issued to SGX-DT) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | SGX Straits Times (STI) | Not approved | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | FTSE/Xinhua China A50 | Approved for sale to U.S. Persons (January 26, 2012 certification) | None offered | None offered | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|--|----------------------------------|--|------------------------------|----------------------|--------------|
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Asia APEX 50 | Approved for sale to U.S. Persons (January 26, 2012 certification) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | SGX MSCI Japan | Approved for sale to U.S. Persons (February 13, 2003 CFTC No-Action Letter issued to SGX-DT) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Singapore Free ³ | Approved for sale to U.S. Persons (July 11, 2000 CFTC No-Action Letter issued to SGX-DT) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | MSCI Indonesia Index | Approved for sale to U.S. Persons (October 2, 2012 certification) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | S&P CNX Nifty (“Nifty 50”) | Approved for sale to U.S. Persons (January 7, 2004 CFTC No-Action Letter issued to SGX-DT) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Mini Nikkei 225 | Approved for sale to U.S. Persons (December 23, 2009 CFTC No-Action Letter issued to SGX-DT) | None offered | None offered | None offered |
| Singapore/Singapore Exchange Derivatives Trading Ltd. (SGX-DT) | Euro STOXX 50 | Approved for sale to U.S. Persons (March 22, 2012 certification) | None offered | None offered | None offered |
| South Africa/JSE/SAFEX | ALSI/FTSE JSE 40 | Approved for sale to U.S. Persons (July 18, 2002 CFTC No-Action Letter issued to SAFEX) | None offered | Not approved | None offered |
| South Africa/JSE/SAFEX | Mining | Not approved | None offered | None offered | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---|------------------------------------|--|------------------------------|----------------------|--------------|
| South Africa/JSE/SAFEX | Financial | Not approved | None offered | None offered | None offered |
| South Africa/JSE/SAFEX | Industrial | Not approved | None offered | None offered | None offered |
| South Korea/Korea Exchange | KOSPI 200 | Approved for sale to U.S. Persons (November 26, 2008 CFTC No-Action Letter issued to Korea Futures Exchange) | None offered | Not approved | None offered |
| South Korea/Korea Exchange | KOSDAQ 50 Plus | Not approved | Not approved | None offered | None offered |
| Spain/MEFF | IBEX 35 ³ | Approved for sale to U.S. Persons (August 8, 1994 CFTC No-Action Letter issued to MEFF) | None offered | Not approved | None offered |
| Spain/MEFF | IBEX 35 Mini | Not approved | Not approved | None offered | None offered |
| Spain/MEFF | S&P Euro | Approved for sale to U.S. Persons (June 14, 2001 CFTC No-Action Letter issued to MEFF) | None offered | None offered | None offered |
| Spain/MEFF | S&P Europe 350 | Approved for sale to U.S. Persons (June 14, 2001 CFTC No-Action Letter issued to MEFF) | None offered | None offered | None offered |
| Sweden/OMHEX | HEXTECH | Not approved | Not approved | None offered | None offered |
| Sweden/OMHEX | HEXTEMP | Not approved | None offered | None offered | None offered |
| Sweden/OMX Nordic Exchange Stockholm AB | OMXS30 (formerly OMX) ³ | Approved for sale to U.S. Persons (June 3, 1998 CFTC No-Action Letter issued to OM Stockholm) | None offered | Not approved | None offered |

For the definition of "Eligible U.S. Institution" and for other explanatory information, please see the endnotes at the end of this table.

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|---|---|--|------------------------------|----------------------|--------------|
| Sweden/OMX Nordic Exchange Stockholm AB | OMXcap ³ | Approved for sale to U.S. Persons (May 25, 2000 CFTC No-Action Letter issued to OM Stockholm) | None offered | Not approved | None offered |
| Sweden/OMX Nordic Exchange Stockholm AB | OBX | Not approved | None offered | Not approved | None offered |
| Sweden/OMX Nordic Exchange Stockholm AB | NOX | Not approved | None offered | Not approved | None offered |
| Sweden/OMX Nordic Exchange Stockholm AB | VINX30 | Approved for sale to U.S. Persons (November 13, 2007 CFTC No-Action Letter issued to OMX Stockholm) | None offered | Not approved | Not approved |
| Switzerland – see Germany | - | - | - | - | - |
| Taiwan/Taiwan Futures Exchange | GreTai Securities Market Capitalized Weighted | Approved for sale to U.S. Persons (October 24, 2011 No-Action Letter issued to Taiwan Futures Exchange) | None offered | Not approved | None offered |
| Taiwan/Taiwan Futures Exchange | TAIEX | Approved for sale to U.S. Persons (July 1, 2004 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | Not approved | None offered |
| Taiwan/Taiwan Futures Exchange | Mini-TAIEX | Approved for sale to U.S. Persons (July 1, 2004 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | None offered | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|--|---|--|------------------------------|----------------------|--------------|
| Taiwan/Taiwan Futures Exchange | MSCI Taiwan | Approved for sale to U.S. Persons (October 12, 2006 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | Not approved | None offered |
| Taiwan/Taiwan Futures Exchange | TSEC Taiwan 50 | Not approved | None offered | None offered | None offered |
| Taiwan/Taiwan Futures Exchange | Electronic Sector | Approved for sale to U.S. Persons (May 16, 2005 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | Not approved | None offered |
| Taiwan/Taiwan Futures Exchange | Finance Sector | Approved for sale to U.S. Persons (May 16, 2005 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | Not approved | None offered |
| Taiwan/Taiwan Futures Exchange | Taiwan Stock Exchange Non-Finance Non-Electronics | Approved for sale to U.S. Persons (April 1, 2008 CFTC No-Action Letter issued to Taiwan Futures Exchange) | None offered | Not approved | None offered |
| Thailand/Thailand Futures Exchange PCL | SET50 | Approved for sale to U.S. Persons (November 26, 2008 CFTC No-Action Letter issued to Thailand Futures Exchange) | None offered | Not approved | None offered |
| Turkey/Turkish Derivatives Exchange | ISE 30 | Approved for sale to U.S. Persons (August 17, 2010 CFTC No-Action Letter issued to Turkish Derivatives Exchange) | None offered | None offered | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|-------------------------------------|---------------------------------|--|------------------------------|---|--------------|
| Turkey/Turkish Derivatives Exchange | ISE 100 | Not approved | None offered | None offered | None offered |
| U.K./EURONEXT LIFFE | FTSE 100 ³ | Approved for sale to U.S. Persons (January 16, 1990 CFTC No-Action Letter issued to LIFFE) | None offered | Approved, including approval of options on individual LSE equities , ⁴ for Eligible U.S. Institutions only (May 1, 1992 SEC No-Action Letter issued to LIFFE) | None offered |
| U.K./EURONEXT LIFFE | FTSE 250 (Mid-Cap) ³ | Approved for sale to U.S. Persons (July 27, 1994 CFTC No-Action Letter issued to LIFFE) | None offered | None offered | None offered |
| U.K./EURONEXT LIFFE | Mini FTSE 100 ³ | Approved for sale to U.S. Persons (May 30, 2001 CFTC No-Action letter issued to LIFFE) | None offered | None offered | None offered |
| U.K./EURONEXT LIFFE | FTSE Eurotop 100 ³ | Approved for sale to U.S. Persons (March 10, 2000 CFTC No-Action Letter issued to LIFFE) | None offered | None offered | None offered |
| U.K./EURONEXT LIFFE | FTSE 100 FLEX | None offered | None offered | Approved for Eligible U.S. Institutions only (March 6, 1996 SEC No-Action Letter issued to LIFFE) | None offered |
| U.K./EURONEXT LIFFE | MSCI Euro ³ | Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to LIFFE) | None offered | None offered | None offered |

| Country/Exchange | Index | Futures Contracts | Options on Futures Contracts | Equity Index Options | ETF Options |
|--|----------------------------|---|------------------------------|---|--------------|
| U.K./EURONEXT LIFFE | MSCI Pan-Euro ³ | Approved for sale to U.S. Persons (April 6, 2000 CFTC No-Action Letter issued to LIFFE) | None offered | None offered | None offered |
| U.K./EURONEXT LIFFE | TOPIX | Approved for sale to U.S. Persons (December 1, 2011 certification) | None offered | None offered | None offered |
| U.K./EDX London Exchange | OMX/Flex OMX ³ | None offered ¹⁰ | None offered | Approved, including approval of options on individual Swedish, Norwegian and Danish equities , ¹¹ for Eligible U.S. Institutions only (October 29, 2003 SEC No-Action letter issued to EDX London Exchange) | None offered |
| U.K./EDX London Exchange | OBX/Flex OBX | Not approved | None offered | Approved for Eligible U.S. Institutions only (October 29, 2003 SEC No-Action letter issued to EDX London Exchange) | None offered |
| U.K./EDX London Exchange | KFX/Flex KFX | Not approved | None offered | Approved for Eligible U.S. Institutions only (October 29, 2003 SEC No-Action letter issued to EDX London Exchange) | None offered |
| U.K./Turquoise Global Holdings Limited | FTSE 100 | Approved for sale to U.S. Persons (June 1, 2012 certification) | None offered | None offered | None offered |

For the definition of "Eligible U.S. Institution" and for other explanatory information, please see the endnotes at the end of this table.

Definition of “Eligible U.S. Institution”: As used in this chart, in accordance with SEC no-action letters, the term “Eligible U.S. Institution” means, in general, a U.S. Person that (i) is a “qualified institutional buyer” as defined in Rule 144A(a)(1) under the Securities Act of 1933, and (ii) has had prior actual experience in trading U.S. listed options contracts. For information regarding working definitions of “U.S. Person” as that term is used in this chart, please contact Katten Muchin Rosenman LLP.

PLEASE NOTE that this chart covers those products and exchanges that are likely to be of greatest interest to market participants in the United States. It is not, therefore, intended to be exhaustive, and is presented without any representation or warranty as to its accuracy, completeness or timeliness. Transmission or receipt of this information does not create an attorney-client relationship with Katten Muchin Rosenman LLP, and the information herein should not be used or relied upon in regard to any particular facts or circumstances without first consulting a lawyer. Questions regarding the permissibility of trading in products that are not included in this chart should be addressed to James D. Van De Graaff at (312) 902-5227, Kenneth M. Rosenzweig at (312) 902-5381, Kevin M. Foley at (312) 902-5372, Maureen C. Guilfoile at (312) 902-5425 or Christian B. Hennion at (312) 902-5521.

- ¹ Indicates that the SEC also has approved certain options based on Australian equity securities that are now or in the future may be listed on ASX.
- ² The CFTC No-Action Letter also applies to BM&F Bovespa’s “Ibovespa Rollover” and “Forward Points on the Ibovespa” transactions.
- ³ Indicates that the index is included in the CFTC’s and SEC’s joint order dated May 31, 2002 extending the exclusion of such index from the definition of “narrow-based security index” under the Commodity Exchange Act and Securities Exchange Act of 1934 beyond its expiration date of July 11, 2002 (the “Joint Order”). Foreign futures contracts or options on futures contracts on “narrow-based securities indexes” may not be offered or sold to U.S. Persons without the CFTC and SEC first developing joint regulations, which has not yet been done. Accordingly, the Joint Order effectively allows the stock index futures contracts and options on futures contracts that are based on the security indexes included in the Joint Order, which were granted no-action relief by the CFTC prior to the enactment of the Commodity Futures Modernization Act (“CFMA”) on December 21, 2000, to continue to be offered and sold to U.S. Persons after July 11, 2002, the date that the exclusion set forth in the CFMA was to expire.
- ⁴ Indicates that the SEC also has approved all options on individual equity securities that are now or that in the future may be listed on the referenced exchange.
- ⁵ Indicates that the SEC also has approved all options on individual German, Swiss, Finnish, Dutch, Italian, and French-issued equities that are now or that in the future may be listed on the Eurex exchange. Equities issued in any other country that are listed on the Eurex exchange have not been approved at this time.
- ⁶ Eurex offers a KOSPI product that Eurex describes as a daily futures contract that expires every night, with any net open positions at the end of trading resulting in the establishment of a corresponding position in the Korean Exchange’s KOSPI 200 Options (with strike prices, expiries, put/call and premiums) at the start of the next trading session on the Korean Exchange.
- ⁷ Pursuant to Eurex Circular 281/11, the Dow Jones STOXX 600 Personal & Household Goods will no longer be tradable on Eurex trading terminals in the U.S. after the close of business on February 29, 2012. The previously-issued no-action letter approving such product for sale to U.S. Persons (February 6, 2008 CFTC No-Action Letter issued to EUREX) has not been withdrawn.
- ⁸ Pursuant to Eurex Circular 260/11, the Dow Jones Euro STOXX Banking Sector is no longer tradable on Eurex trading terminals in the U.S. The previously-issued no-action letter approving such product for sale to U.S. Persons (April 2, 2002 CFTC No-Action Letter issued to EUREX) has not been withdrawn.
- ⁹ Additional restrictions apply to the trading of these products by U.S. persons.
- ¹⁰ No-action letter withdrawn at the request of the Turquoise Exchange, to which the London Stock Exchange transferred the EDX London exchange. The Turquoise Exchange made the request in a letter dated July 29, 2011.
- ¹¹ Indicates that the SEC also has approved all options on individual Swedish, Norwegian, and Danish-issued equities that are now or that in the future may be listed on the EDX London exchange. Equities issued in any other country that are listed on the EDX London exchange have not been approved at this time. Please note that the EDX London exchange has been acquired by the Turquoise Exchange.

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